

Attention is All You Need

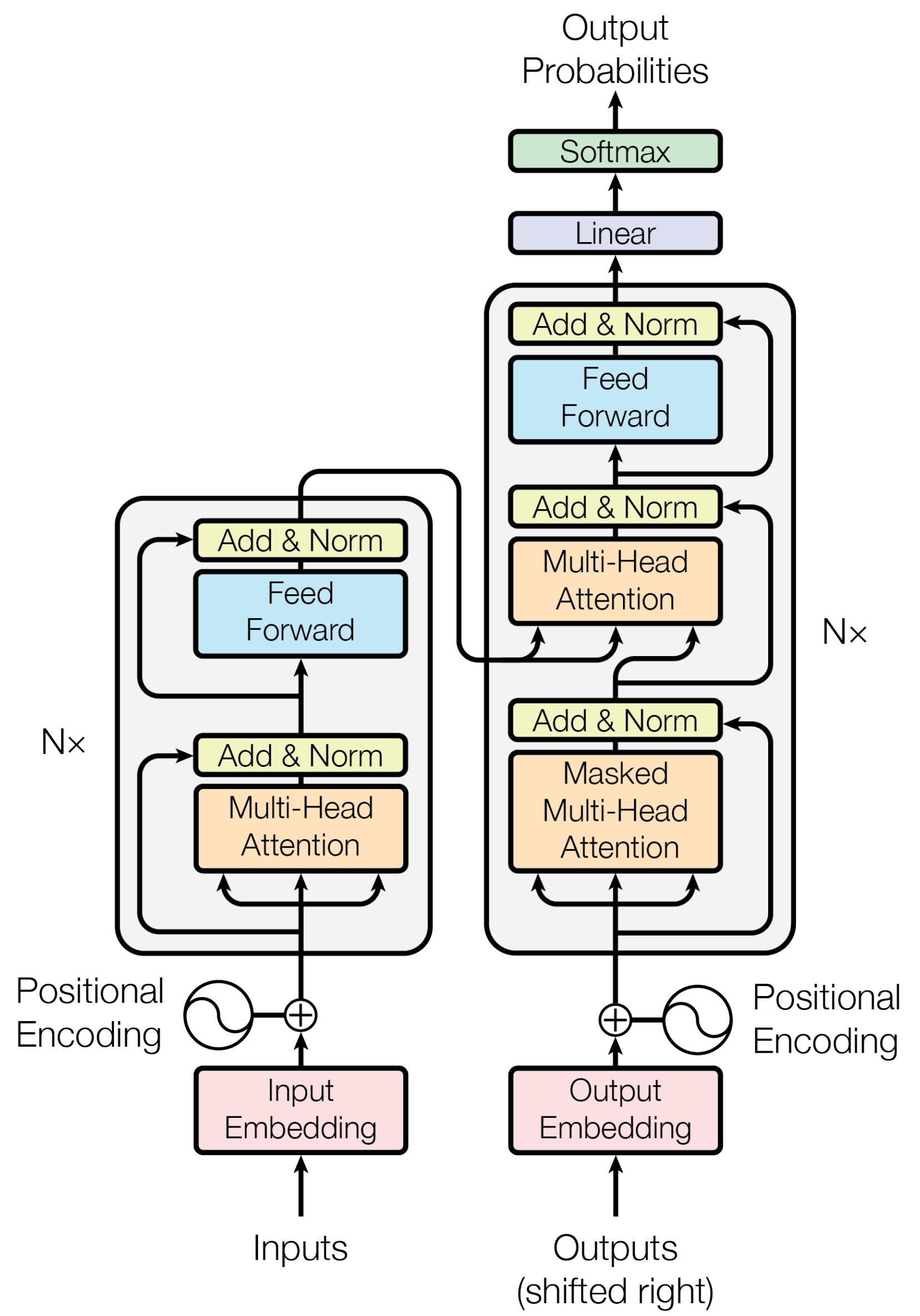
Transformer in details

Encoder Part

Guangyi Liu
CUHK-Shenzhen

Outlines

- Notations
- Embedding Layer
- Positional Encoding
- Multi-Head Attention
- Position-wise Feed Forward
- Residual Connection
- Encoder Block
- Encoder



Notations

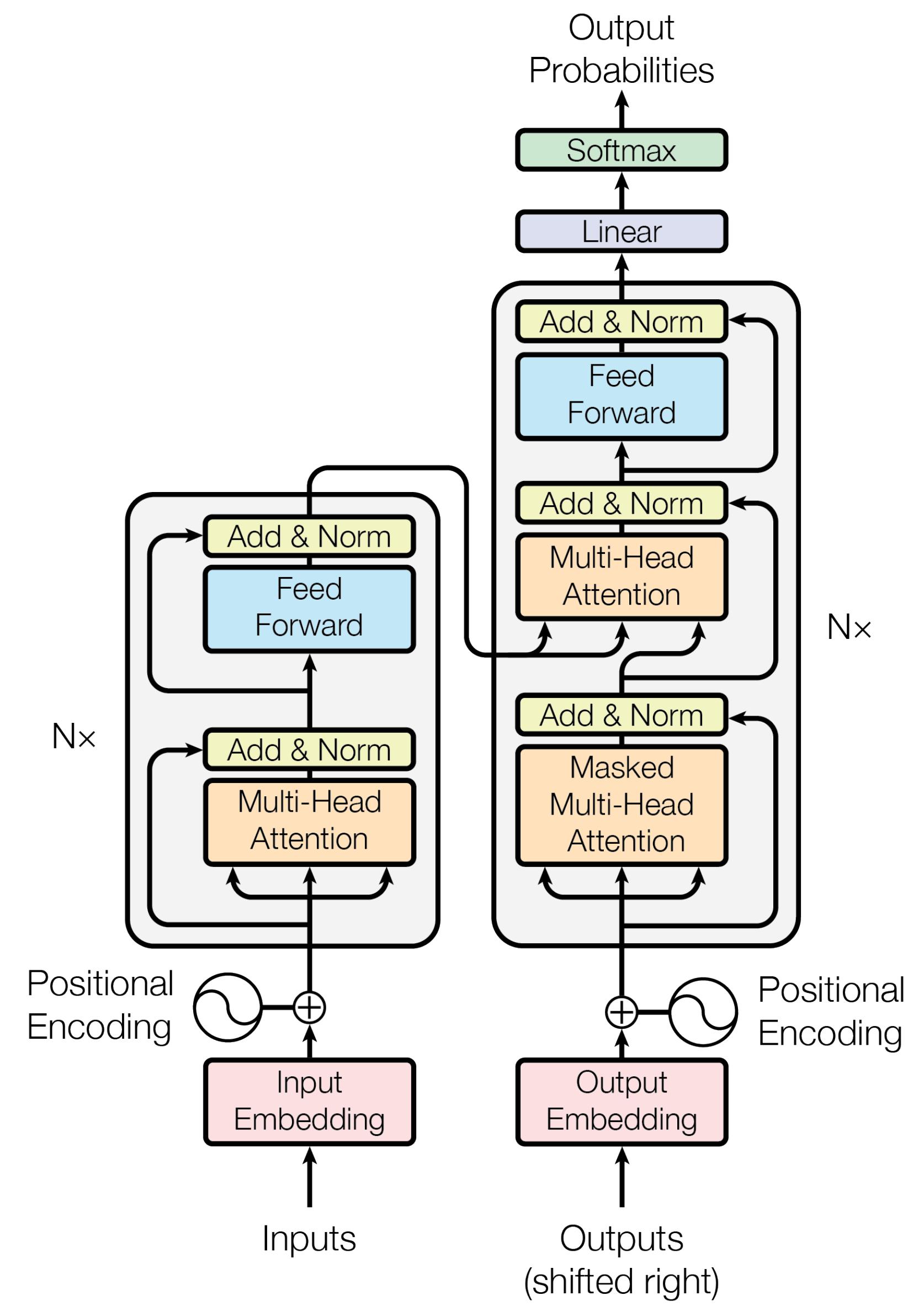
V : Vocabulary Size

L : Sequence Length

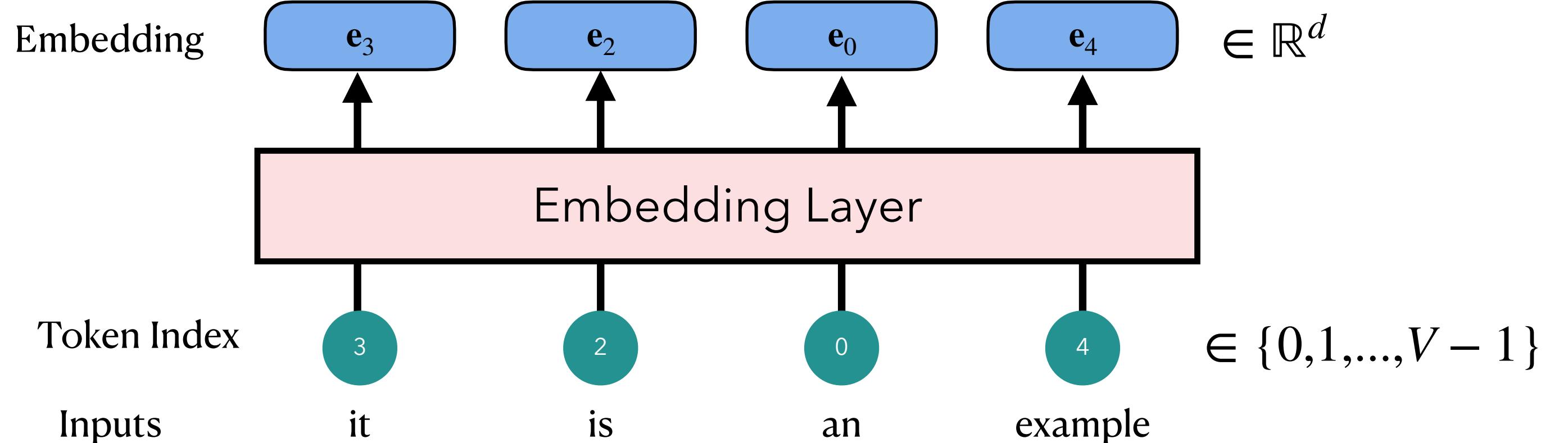
B : Batch Size

N : Number of Encoder Blocks

d : Dimension of Hidden/Embedding



Embedding Layer



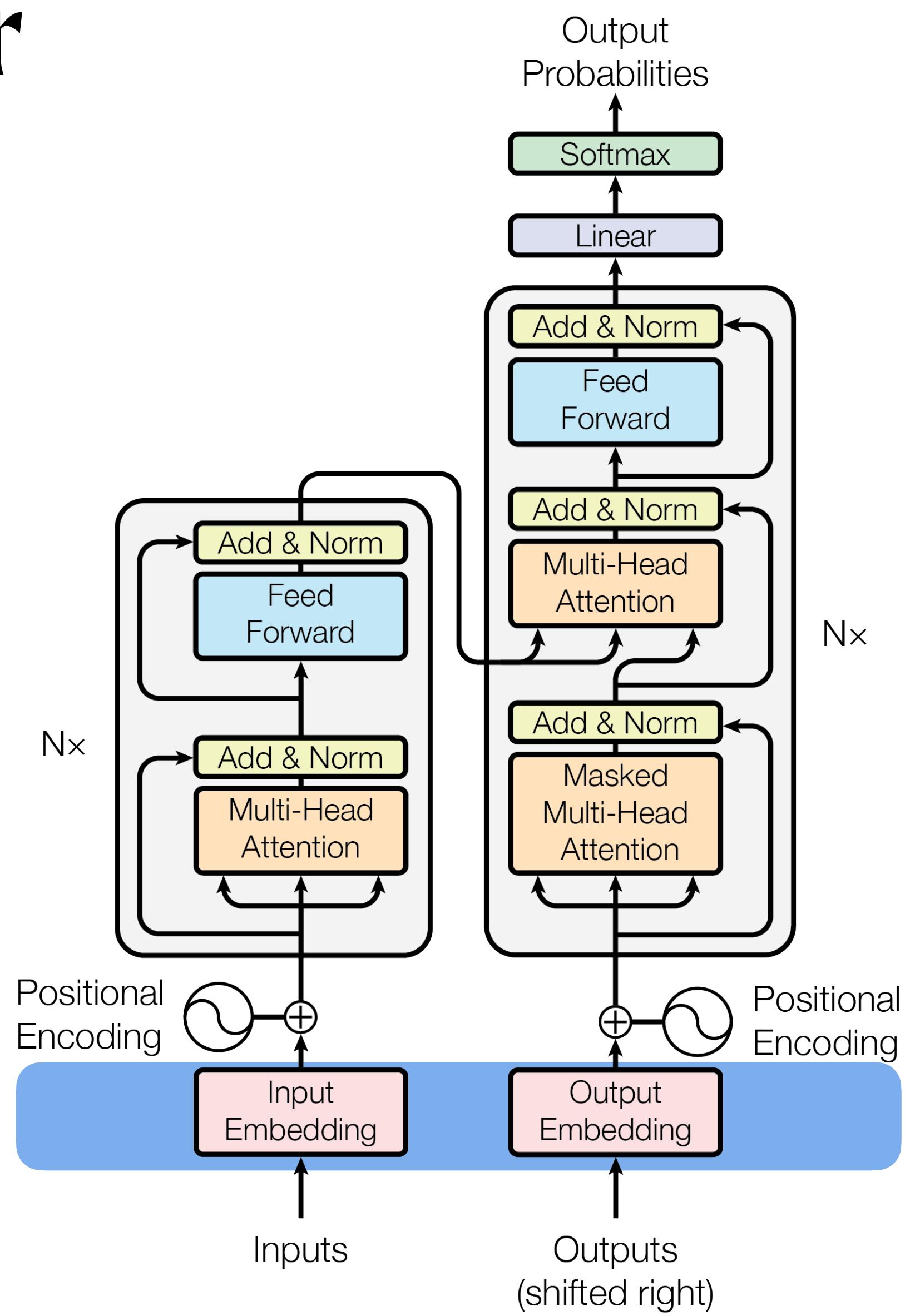
Goal: Convert the token indexes to vectors of dimension d

A lookup table that is equivalent to a linear layer.

Embedding matrix: $\mathbf{E} \in \mathbb{R}^{V \times d}$

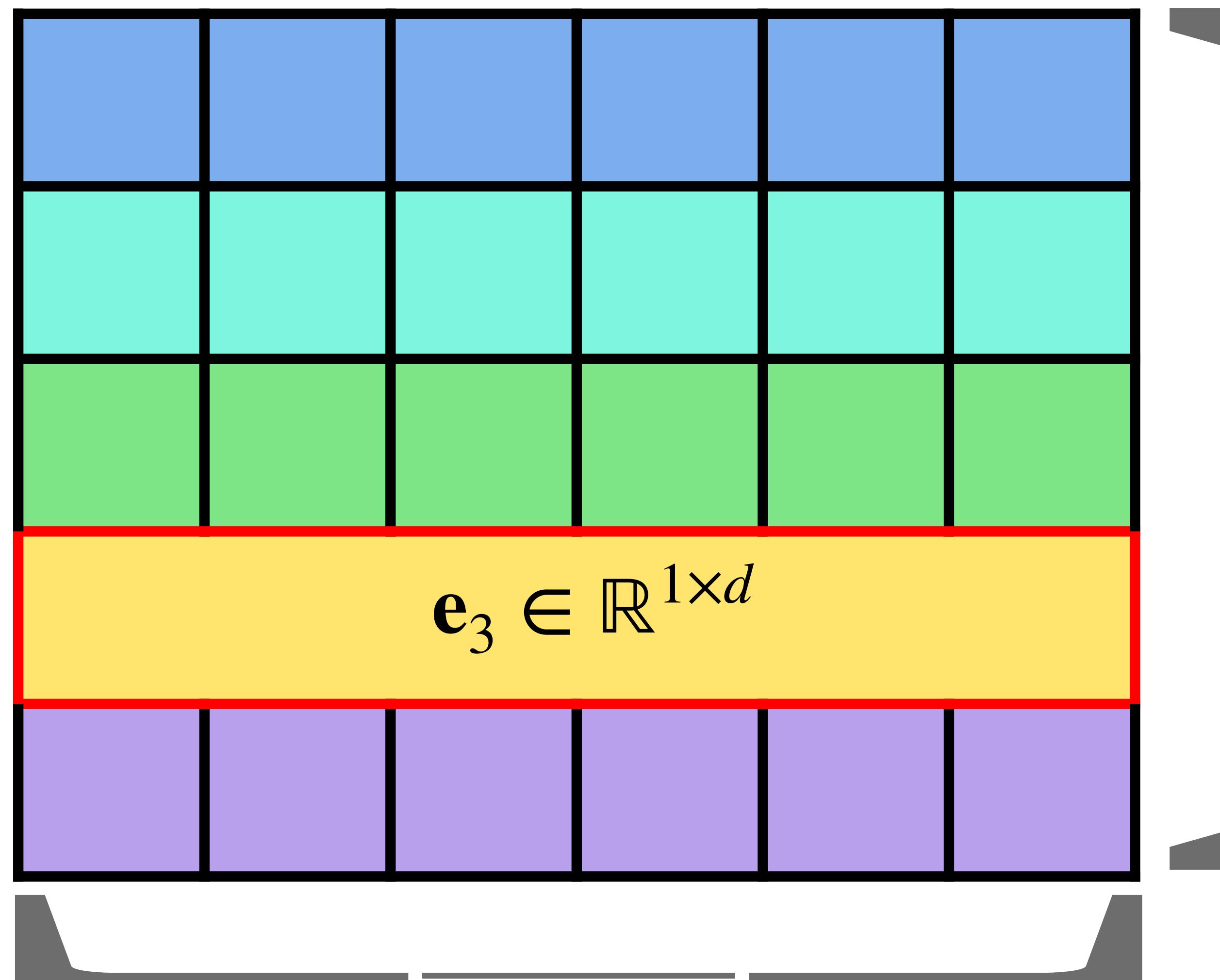
Embedding of the i -th token:

i -th row of \mathbf{E} : $\mathbf{e}_i = \mathbf{E}[i, \cdot]^T \in \mathbb{R}^d$



Vocabulary	Index
an	0
a	1
is	2
it	3
example	4

Embedding Matrix $\mathbf{E} \in \mathbb{R}^{V \times d}$

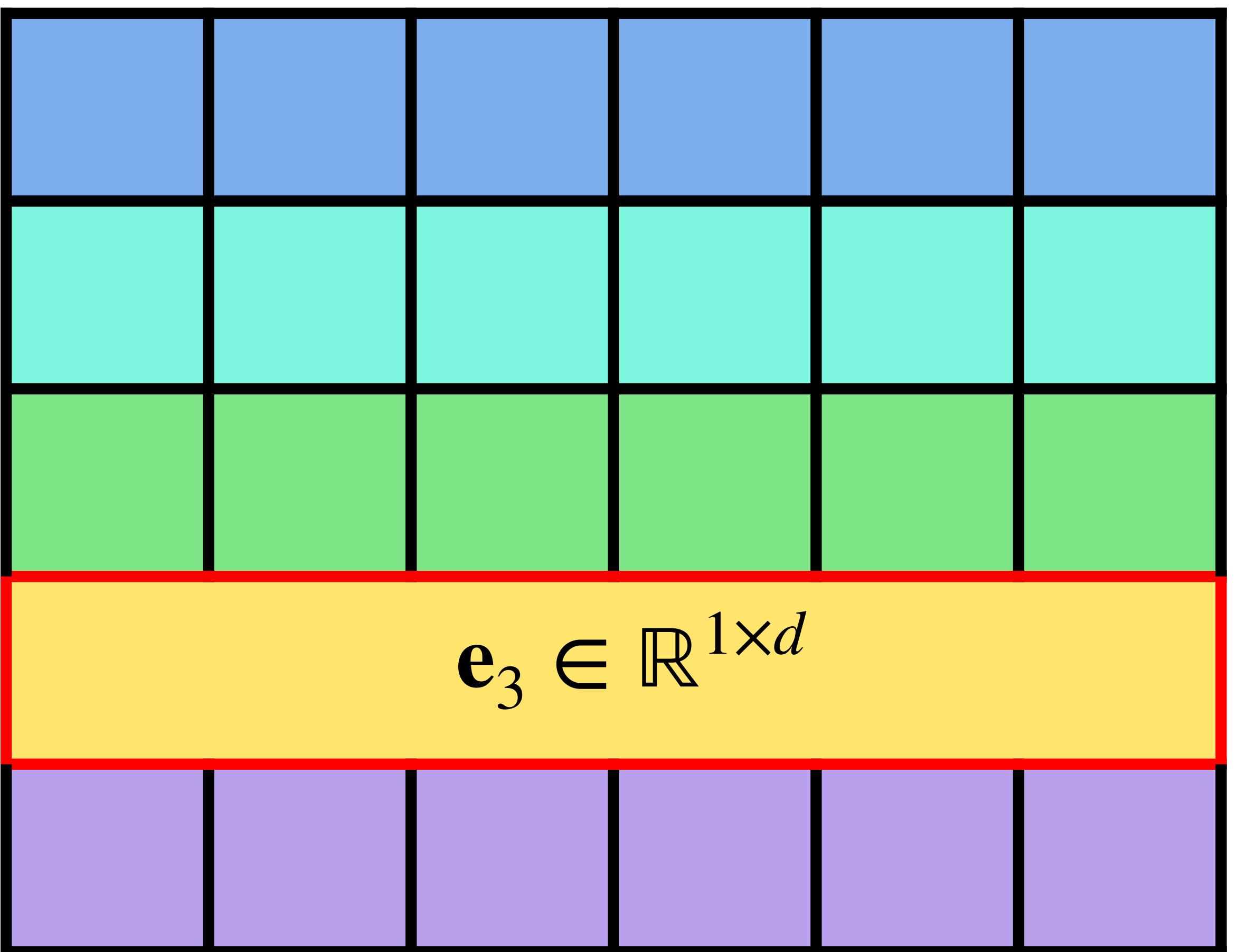


V : Vocabulary Size

d : Hidden Dimension

Vocabulary	Index
an	0
a	1
is	2
it	3
example	4

Embedding Matrix $\mathbf{E} \in \mathbb{R}^{V \times d}$



[it, is, an, example]

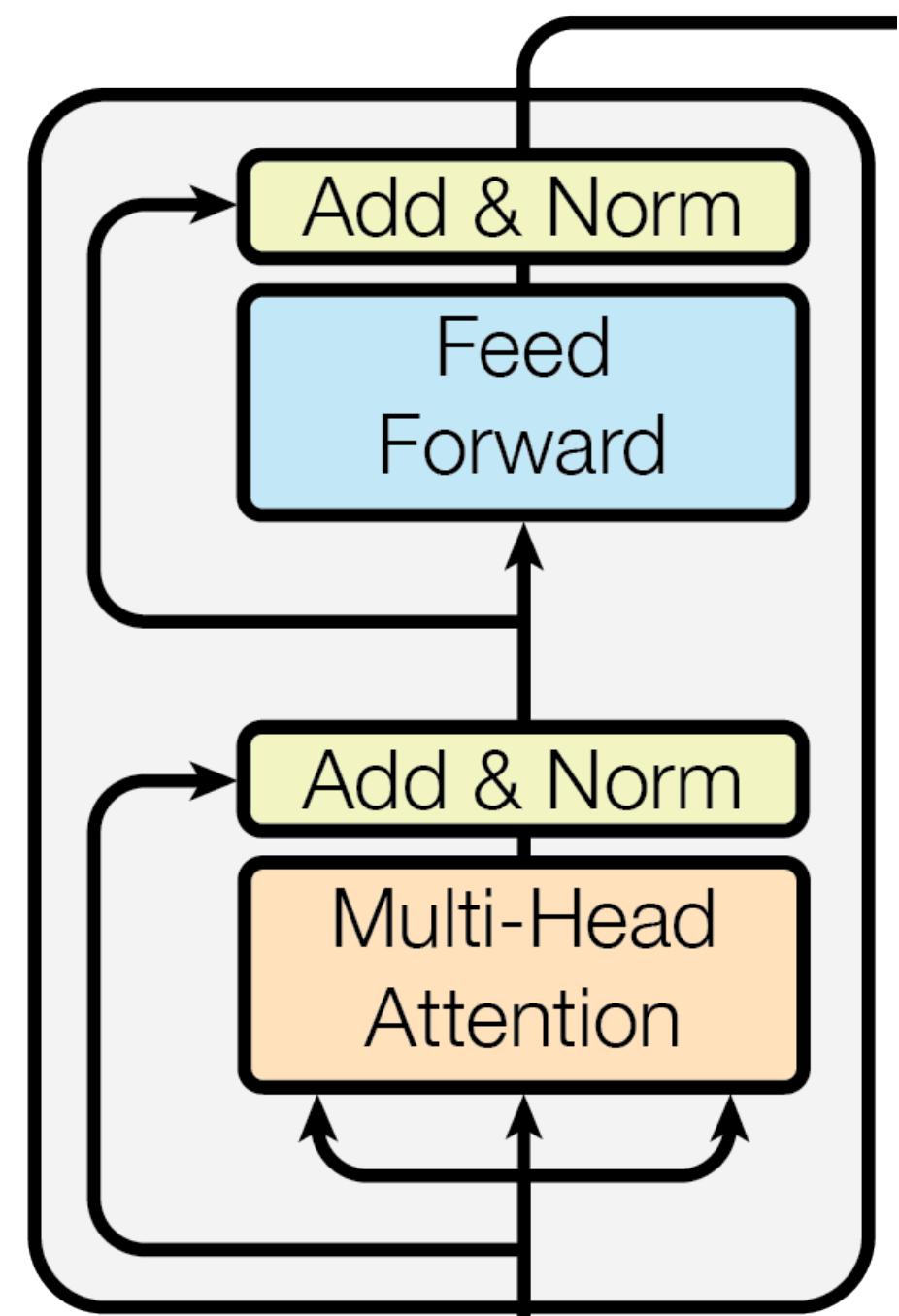
Input list: [3,2,0,4]

$$\mathbf{e}_i = \mathbf{E}[i], i \in \{3,2,0,4\}$$

$$\mathbf{X} = \begin{matrix} \mathbf{e}_3 \\ \mathbf{e}_2 \\ \mathbf{e}_0 \\ \mathbf{e}_4 \end{matrix} \in \mathbb{R}^{L \times d}$$

$$\text{Batch: } \mathbf{X} \in \mathbb{R}^{B \times L \times d}$$

$$\mathbf{X} = \sqrt{d} \cdot \mathbf{X}$$



Positional
Encoding



Input
Embedding

Inputs

Assign larger weight on word embedding

If PE is **trainable**

No need rescaling
(e.g., BERT, GPT2)

But: Max Length

\sqrt{d} for **Rescaling**

Initialization: $\mathcal{N}(\mathbf{0}, \mathbf{I})$

The Same Scale as PE
(PE deterministic)

[it, is, an, example]

Input list: [3,2,0,4]

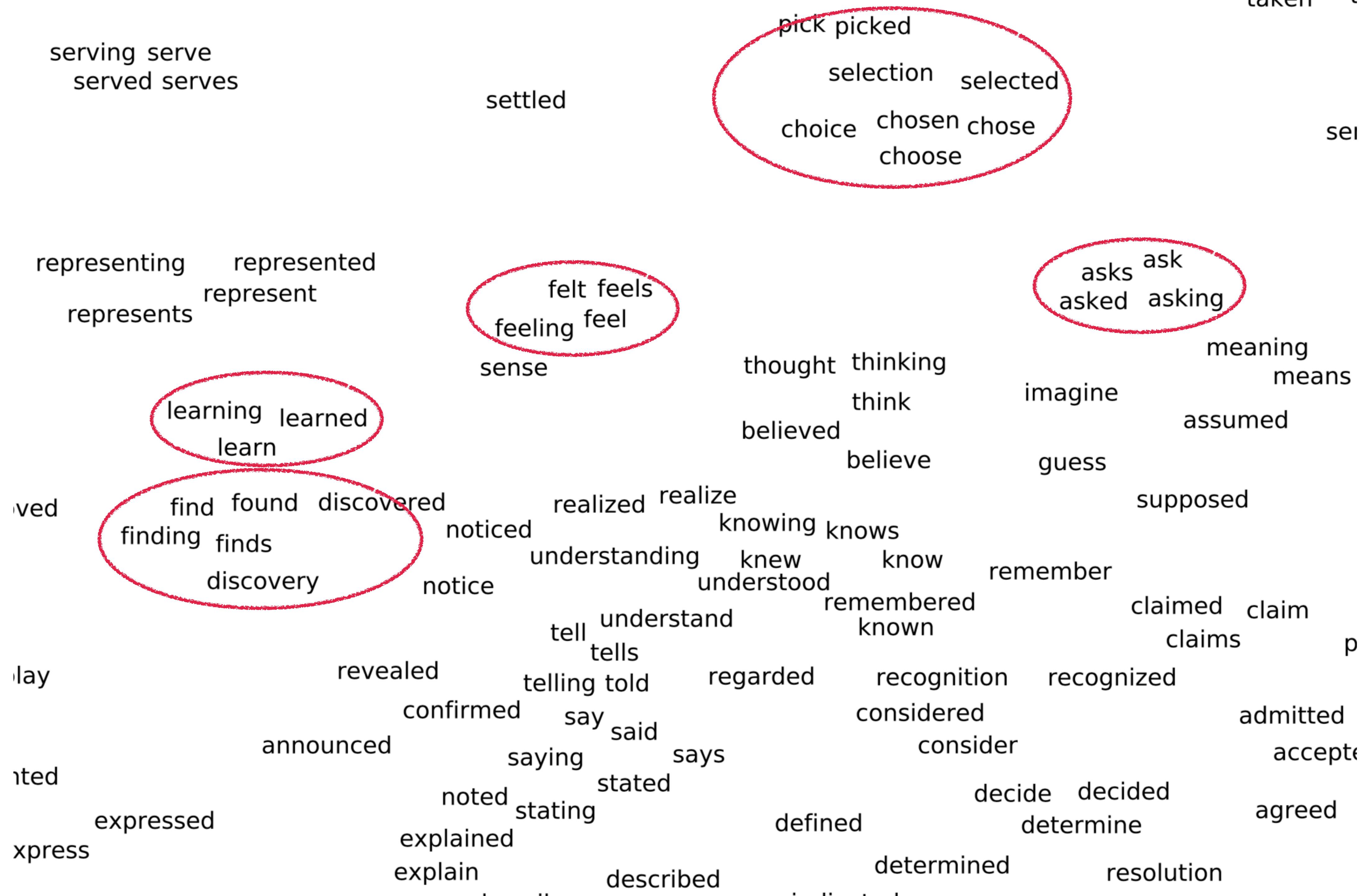
$$\mathbf{e}_i = \mathbf{E}[i], i \in \{3,2,0,4\}$$

$$\mathbf{X} = \begin{matrix} \mathbf{e}_3 \\ \mathbf{e}_2 \\ \mathbf{e}_0 \\ \mathbf{e}_4 \end{matrix} \in \mathbb{R}^{L \times d}$$

$$\text{Batch: } \mathbf{X} \in \mathbb{R}^{B \times L \times d}$$

$$\mathbf{X} = \sqrt{d} \cdot \mathbf{X}$$

t-SNE



Embedding Layer

```
import math
import torch.nn as nn
from torch import Tensor

class Embedding(nn.Module):
    def __init__(self, vocab_size: int, dim_embed: int) -> None:
        super().__init__()
        self.embedding = nn.Embedding(vocab_size, dim_embed)
        self.sqrt_dim_embed = math.sqrt(dim_embed)

    def forward(self, x: Tensor) -> Tensor:
        In: (B,L) x = self.embedding(x.long())
        Out: (B,L,d) x = x * self.sqrt_dim_embed
        return x
```

Positional Encoding

Why: No sense of position/order for each word

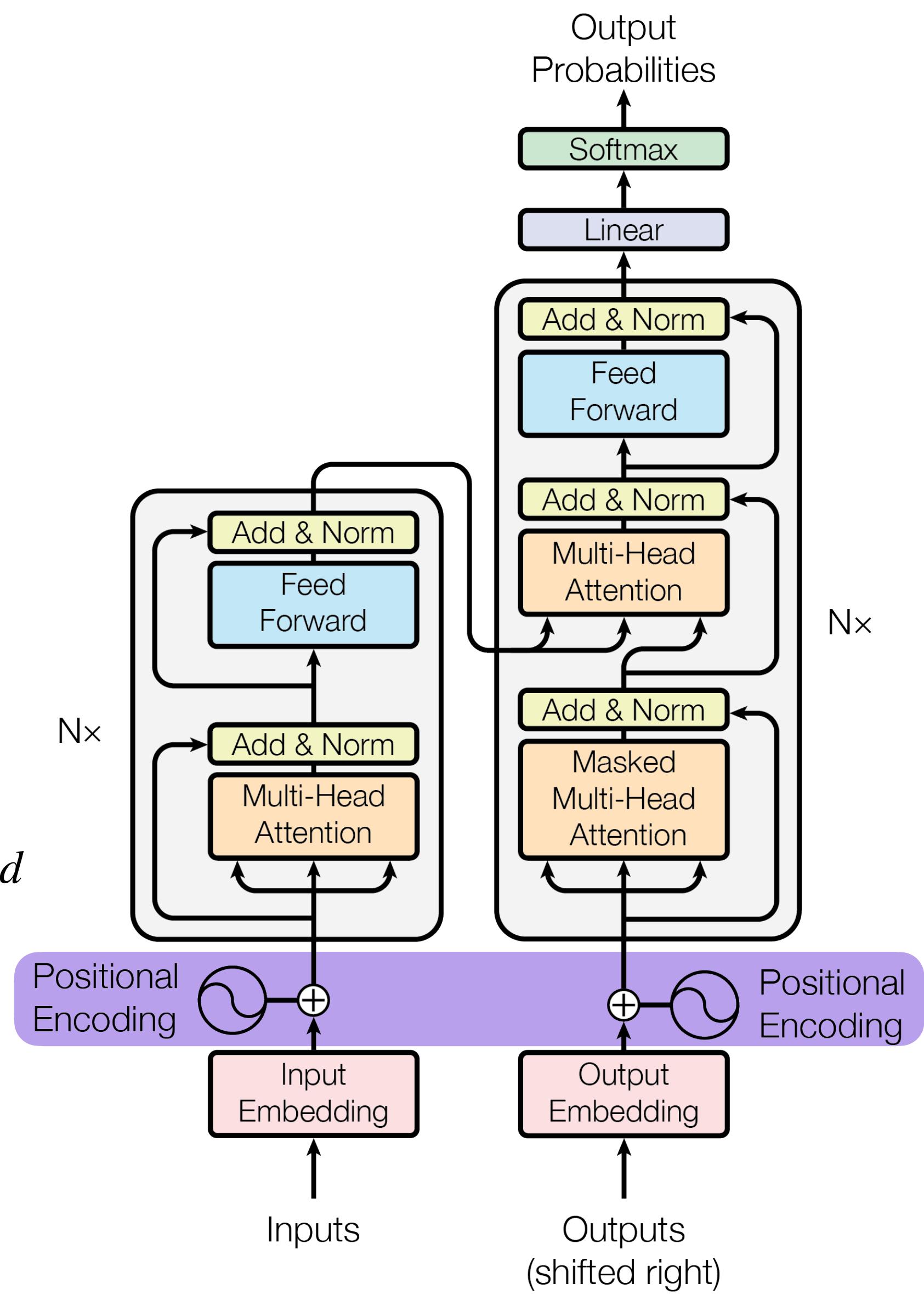
Goal: Insert position information into embedding

Desired Properties:

1. **Unique encoding** for each position
2. **Relative position** should be **consistent**
3. Handle longer sentences without any efforts

Positional vector at position t

$$\mathbf{p}_t = [p_t^{(0)} \ p_t^{(1)} \ \dots \ p_t^{(i)} \ p_t^{(i+1)} \ \dots \ p_t^{(d-2)} \ p_t^{(d-1)}] \in \mathbb{R}^d$$



Positional Encoding

Formulation:

$$p_t^{(i)} := \begin{cases} \sin(\omega_k \cdot t), & \text{if } i = 2k \\ \cos(\omega_k \cdot t), & \text{if } i = 2k + 1 \end{cases} \quad \omega_k = \left(\frac{1}{10000}\right)^{2k/d}$$

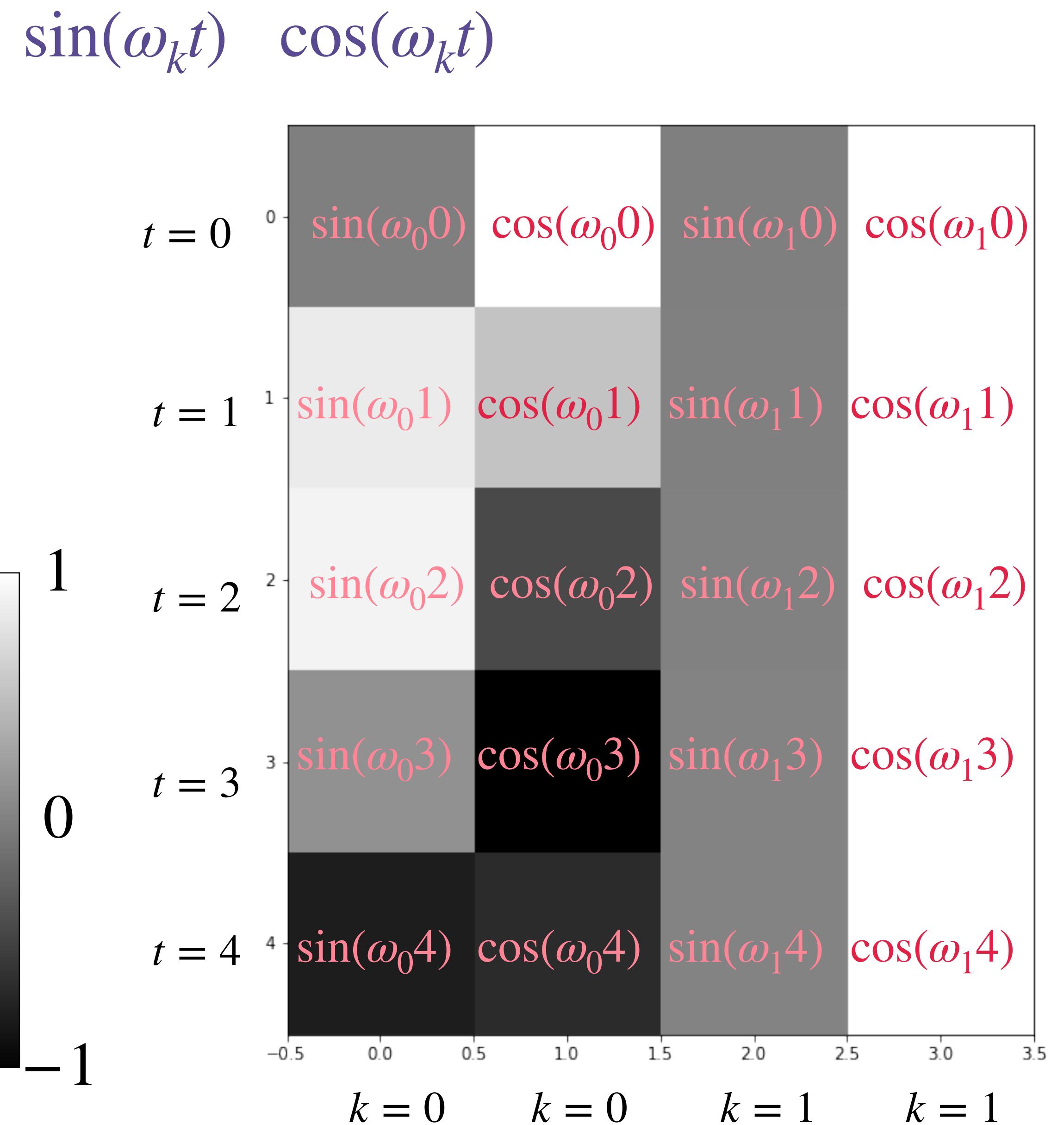
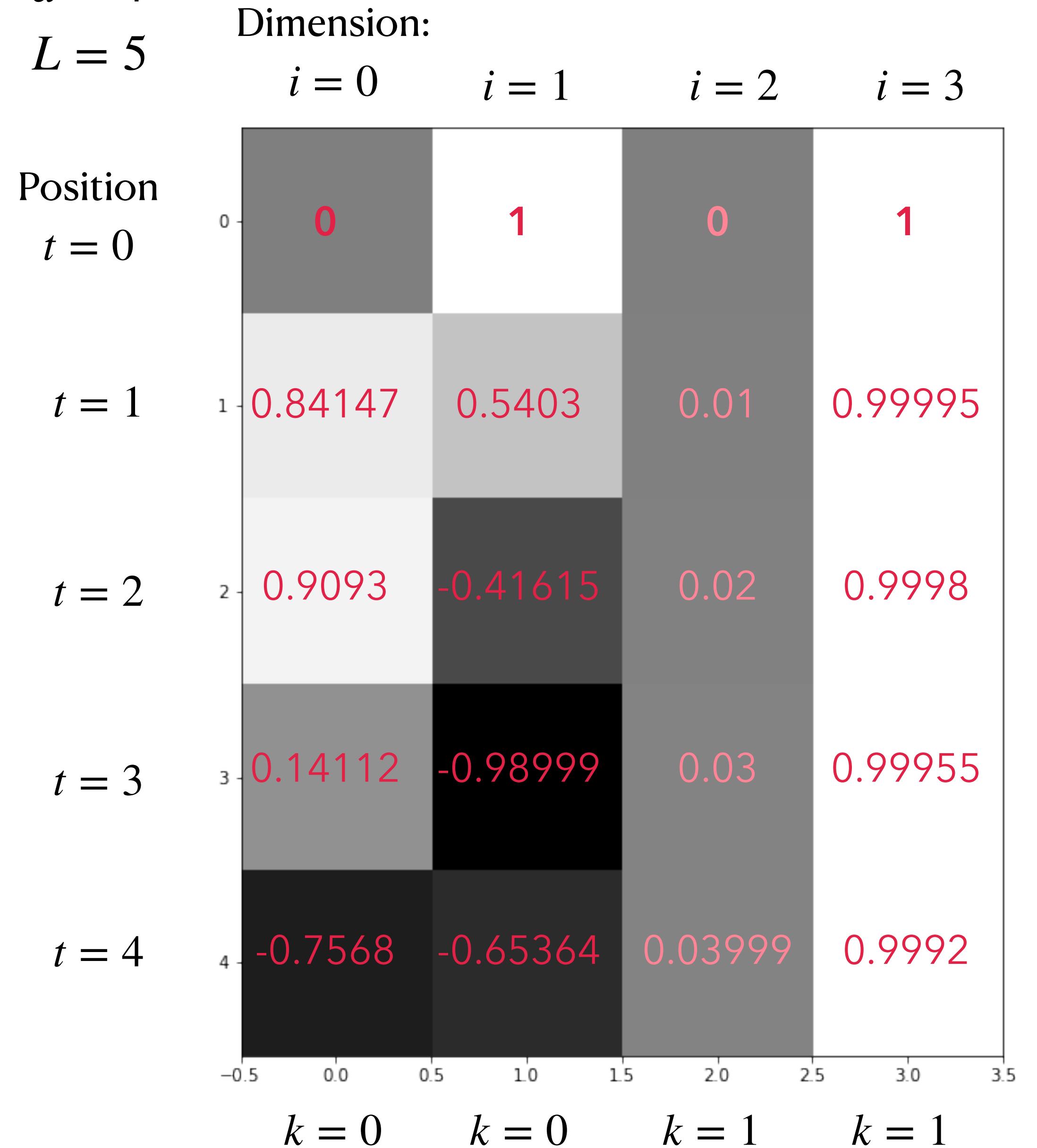
$$\mathbf{p}_t = \begin{bmatrix} p_t^{(0)} & p_t^{(1)} & \dots & p_t^{(i)} & p_t^{(i+1)} & \dots & p_t^{(d-2)} & p_t^{(d-1)} \end{bmatrix} \in \mathbb{R}^d$$

$\sin(\omega_0 t) \ \cos(\omega_0 t) \quad \sin(\omega_{i/2} t) \ \cos(\omega_{i/2} t) \quad \sin(\omega_{d/2-1} t) \ \cos(\omega_{d/2-1} t)$

$$\omega_0 = \left(\frac{1}{10000}\right)^0 = 1 \quad \omega_{i/2} = \left(\frac{1}{10000}\right)^{i/d} \quad \omega_{d/2-1} = \left(\frac{1}{10000}\right)^{1-2/d}$$

$$d = 4$$

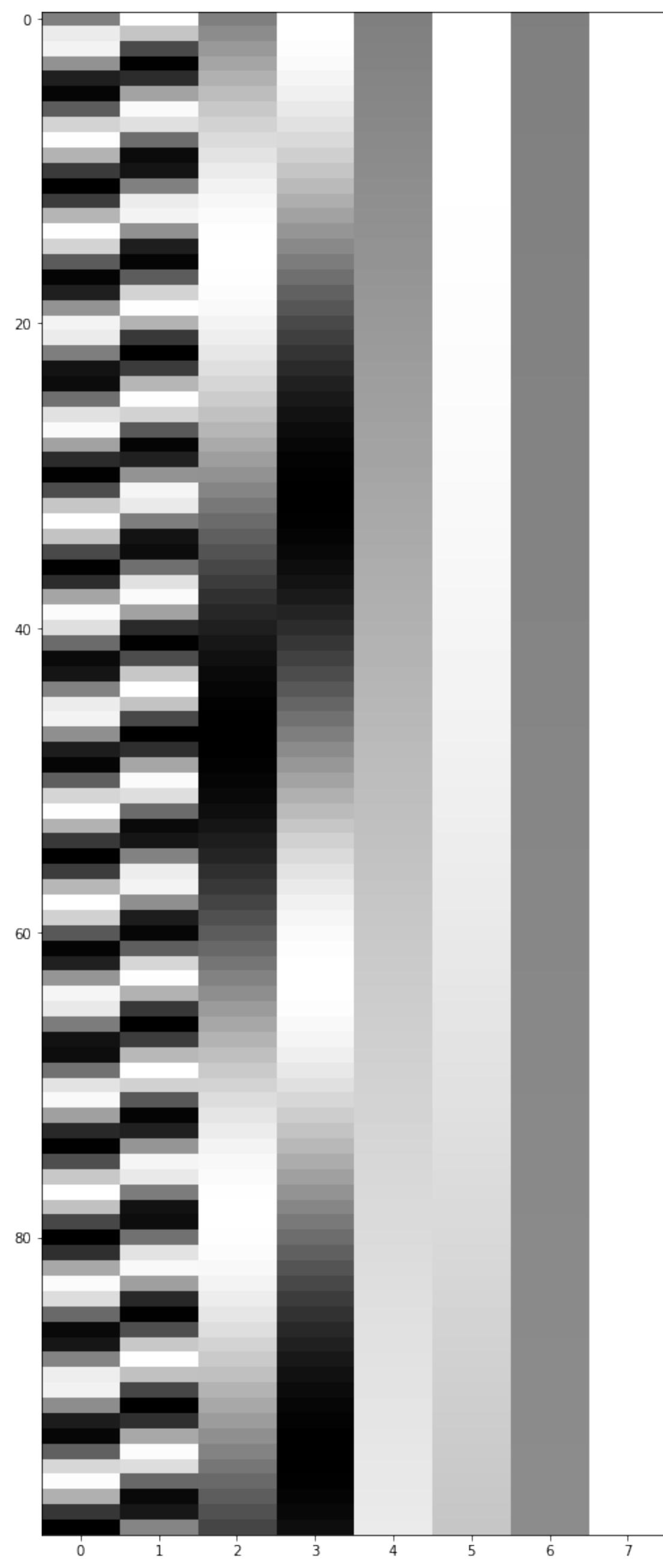
$$L = 5$$



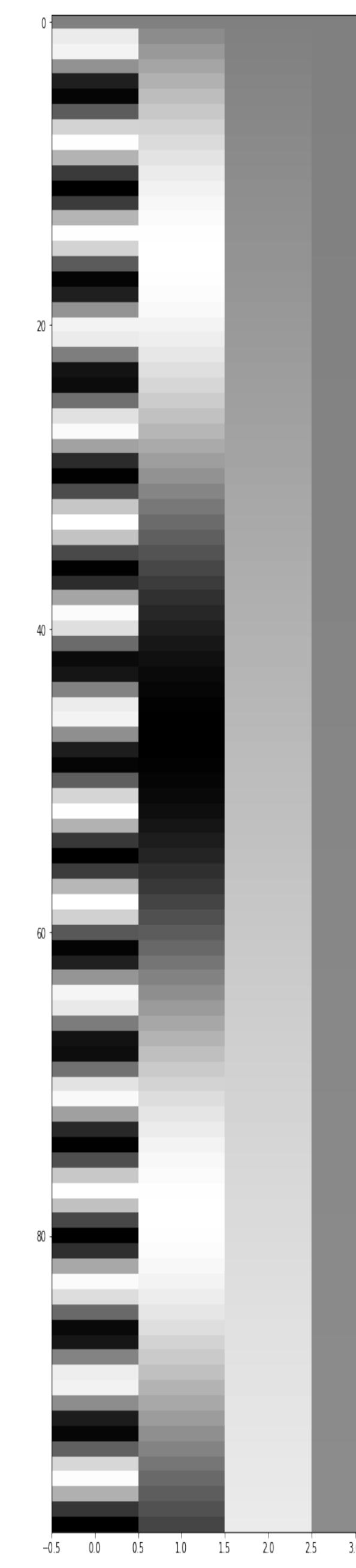
$k \uparrow \omega_k \downarrow \text{Frequency} \downarrow$

$d = 8$

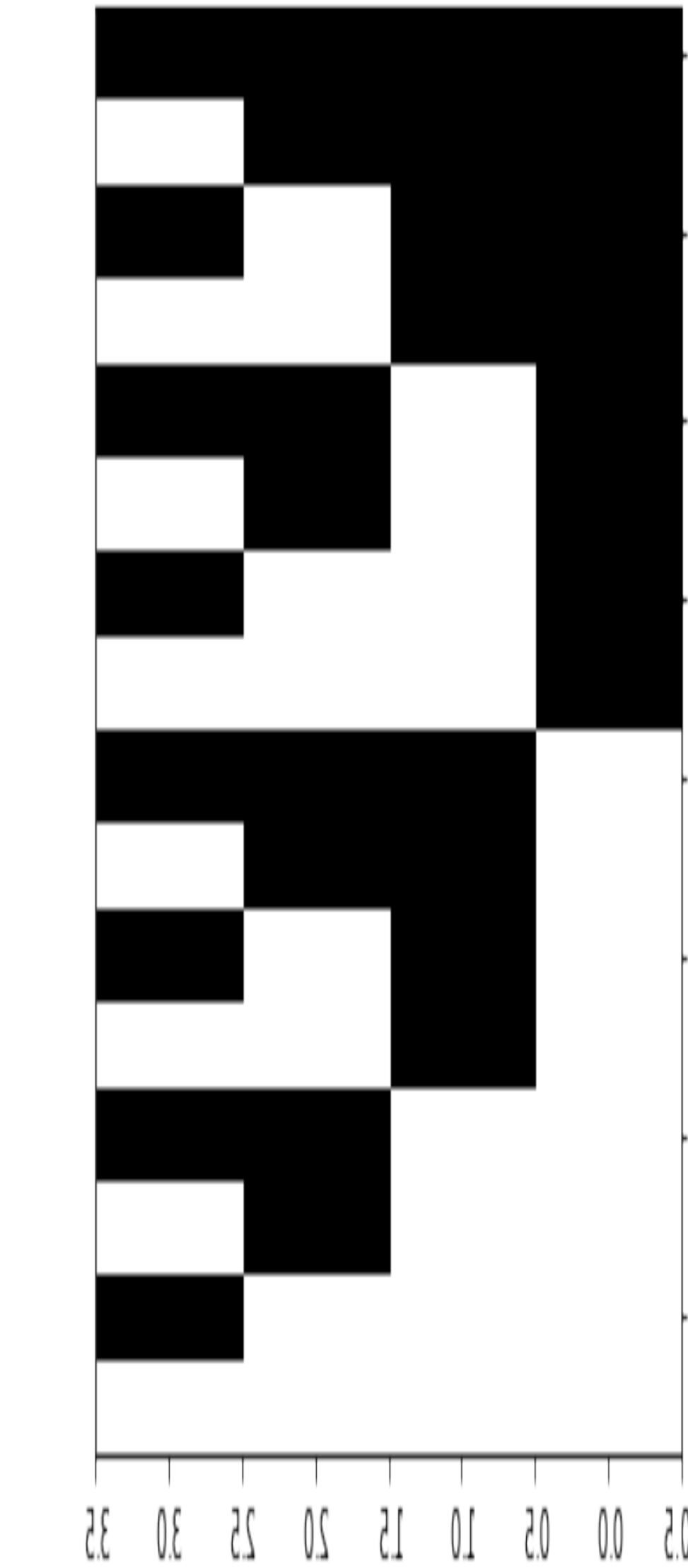
$L = 100$



$k \uparrow \omega_k \downarrow$ Frequency \downarrow



sin part



0 :	0	0	0	0
1 :	0	0	0	1
2 :	0	0	1	0
3 :	0	0	1	1
4 :	0	1	0	0
5 :	0	1	0	1
6 :	0	1	1	0
7 :	0	1	1	1
8 :	1	0	0	0
9 :	1	0	0	1
10 :	1	0	1	0
11 :	1	0	1	1
12 :	1	1	0	0
13 :	1	1	0	1
14 :	1	1	1	0
15 :	1	1	1	1

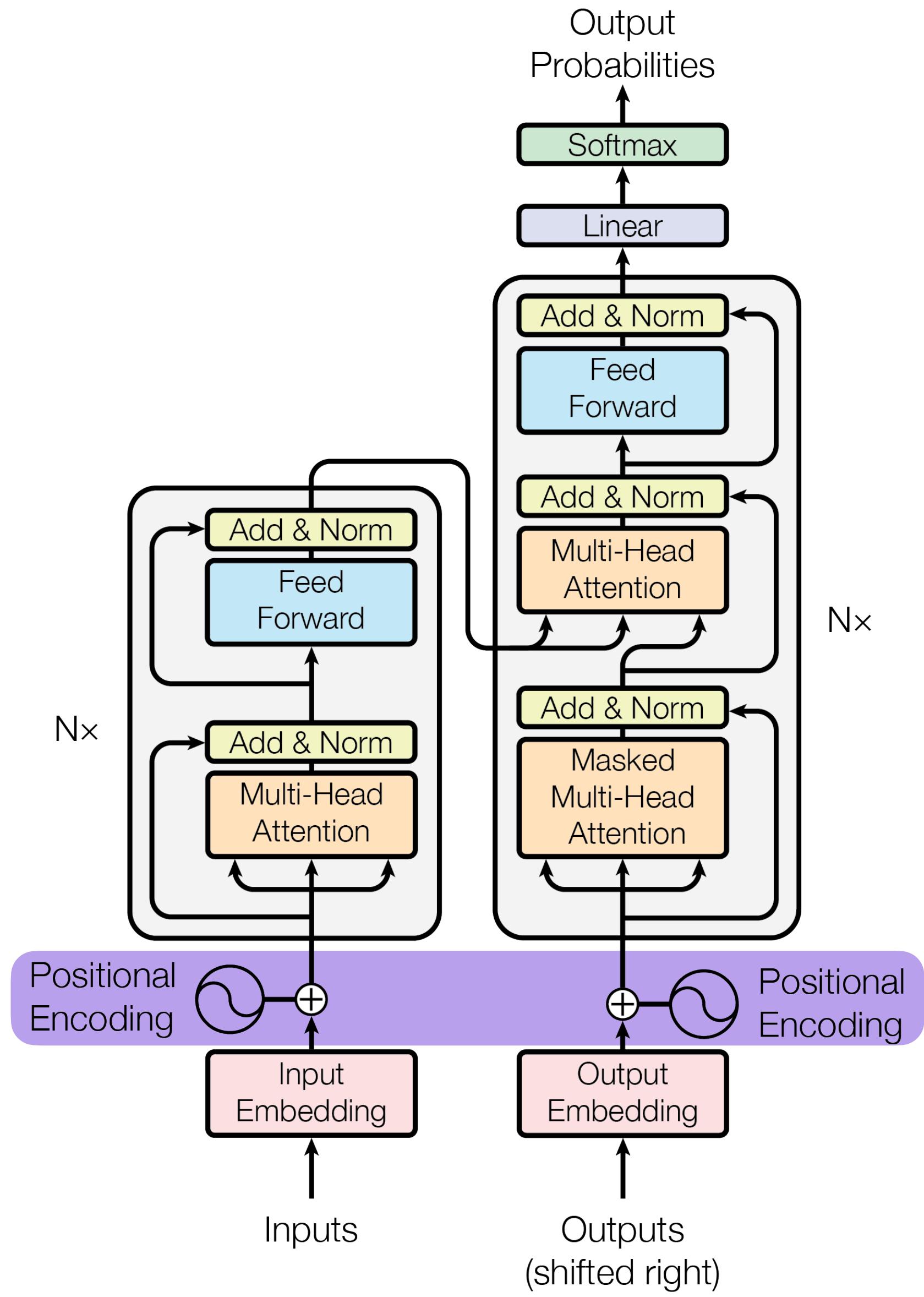
Positional Encoding

$$p_t^{(i)} := \begin{cases} \sin(\omega_k \cdot t), & \text{if } i = 2k \\ \cos(\omega_k \cdot t), & \text{if } i = 2k + 1 \end{cases}$$

$$\omega_k = \left(\frac{1}{10000} \right)^{\frac{2k}{d}}$$

Why do we use both *sin* and *cos*?

*We chose this function because we hypothesized it would allow the model to easily learn to attend by **relative positions**, since for any fixed offset ϕ , $p_{t+\phi}$ can be represented as a linear function of p_t*



For every sine-cosine pair corresponding to ω_k , there is a linear transformation $M \in \mathbb{R}^{2 \times 2}$ (independent of t) where the following equation holds:

$$M(k, \phi) \cdot \begin{bmatrix} \sin(\omega_k \cdot t) \\ \cos(\omega_k \cdot t) \end{bmatrix} = \begin{bmatrix} \sin(\omega_k \cdot (t + \phi)) \\ \cos(\omega_k \cdot (t + \phi)) \end{bmatrix}$$

Easy Proof:

$$\begin{bmatrix} u_1 & v_1 \\ u_2 & v_2 \end{bmatrix} \cdot \begin{bmatrix} \sin(\omega_k \cdot t) \\ \cos(\omega_k \cdot t) \end{bmatrix} = \begin{bmatrix} \sin(\omega_k \cdot (t + \phi)) \\ \cos(\omega_k \cdot (t + \phi)) \end{bmatrix}$$

RHS becomes:

$$= \begin{bmatrix} \sin(\omega_k \cdot t)\cos(\omega_k \cdot \phi) + \cos(\omega_k \cdot t)\sin(\omega_k \cdot \phi) \\ \cos(\omega_k \cdot t)\cos(\omega_k \cdot \phi) - \sin(\omega_k \cdot t)\sin(\omega_k \cdot \phi) \end{bmatrix}$$

By *addition theorem*, we get:

$$\begin{aligned} u_1 \sin(\omega_k \cdot t) + v_1 \cos(\omega_k \cdot t) &= \cos(\omega_k \cdot \phi)\sin(\omega_k \cdot t) + \sin(\omega_k \cdot \phi)\cos(\omega_k \cdot t) \\ u_2 \sin(\omega_k \cdot t) + v_2 \cos(\omega_k \cdot t) &= -\sin(\omega_k \cdot \phi)\sin(\omega_k \cdot t) + \cos(\omega_k \cdot \phi)\cos(\omega_k \cdot t) \end{aligned}$$

One solution is:

$$\begin{aligned} u_1 &= \cos(\omega_k \cdot \phi) & v_1 &= \sin(\omega_k \cdot \phi) \\ u_2 &= -\sin(\omega_k \cdot \phi) & v_2 &= \cos(\omega_k \cdot \phi) \end{aligned}$$

The final transformation **does not depend on position t .**

If we only use *sin* or *cos*, the linear transformation will **not hold** (addition theorem).

$$M(k, \phi) = \begin{bmatrix} \cos(\omega_k \cdot \phi) & \sin(\omega_k \cdot \phi) \\ -\sin(\omega_k \cdot \phi) & \cos(\omega_k \cdot \phi) \end{bmatrix}$$

Positional Encoding

$$M(k, \phi) \cdot \begin{bmatrix} \sin(\omega_k \cdot t) \\ \cos(\omega_k \cdot t) \end{bmatrix} = \begin{bmatrix} \sin(\omega_k \cdot (t + \phi)) \\ \cos(\omega_k \cdot (t + \phi)) \end{bmatrix}$$

$$M(k, \phi) = \begin{bmatrix} \cos(\omega_k \cdot \phi) & \sin(\omega_k \cdot \phi) \\ -\sin(\omega_k \cdot \phi) & \cos(\omega_k \cdot \phi) \end{bmatrix}$$

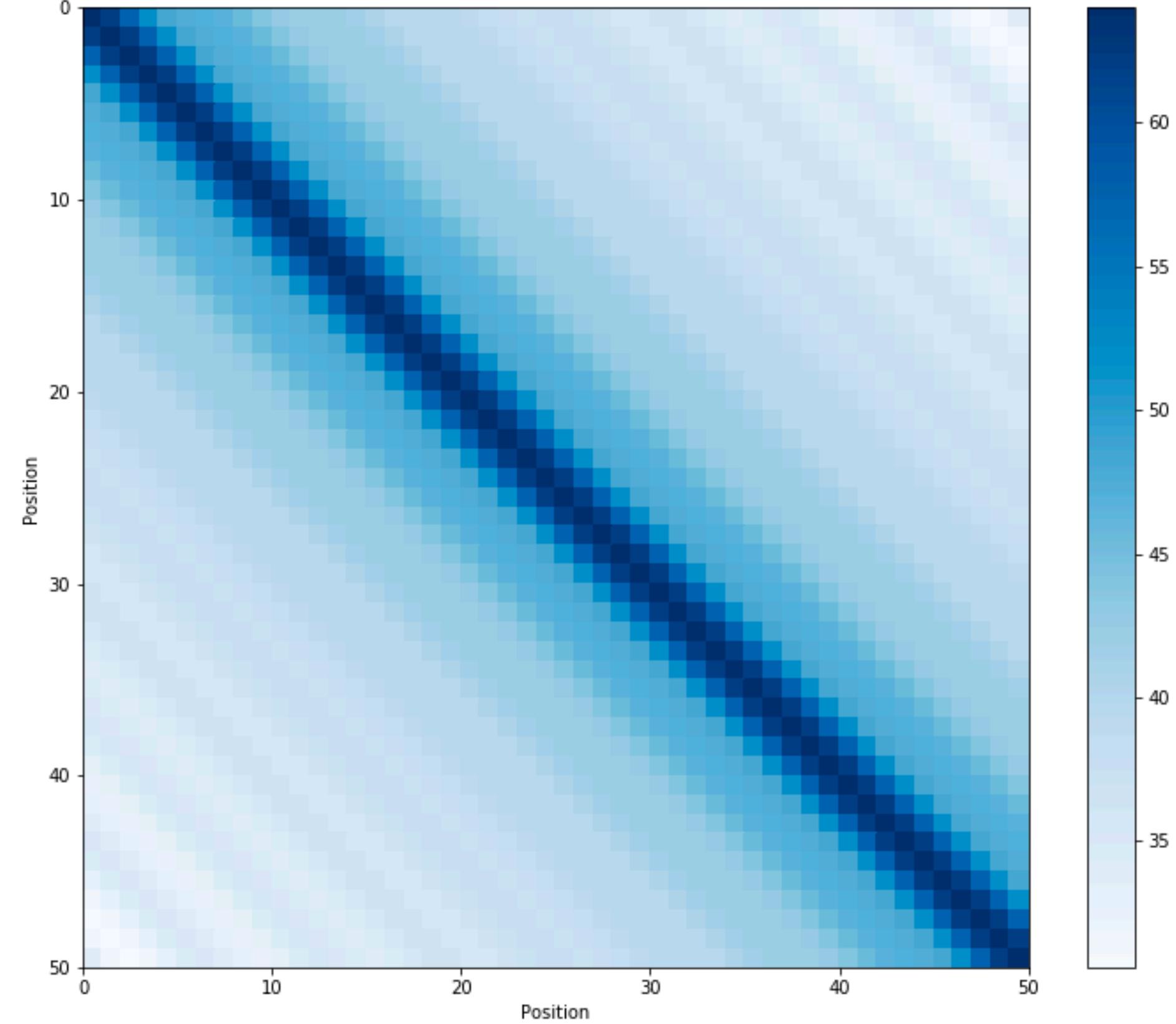
$$\mathbf{p}_t^T \cdot \begin{bmatrix} M(0, \phi) & \mathbf{0} \\ \mathbf{0} & M(1, \phi) \end{bmatrix} \cdot \begin{bmatrix} \sin(\omega_0 t) \\ \cos(\omega_0 t) \\ \sin(\omega_1 t) \\ \cos(\omega_1 t) \end{bmatrix} =$$

$$M(0, \phi) \cdot \begin{bmatrix} \sin(\omega_0 t) \\ \cos(\omega_0 t) \end{bmatrix} = \begin{bmatrix} M(1, \phi) \cdot \begin{bmatrix} \sin(\omega_1 t) \\ \cos(\omega_1 t) \end{bmatrix} \end{bmatrix} =$$

$$\begin{bmatrix} \sin(\omega_0(t + \phi)) \\ \cos(\omega_0(t + \phi)) \\ \sin(\omega_1(t + \phi)) \\ \cos(\omega_1(t + \phi)) \end{bmatrix}$$

Positional Encoding

Distance between neighboring positions are symmetrical and decays nicely with positions.



Dot product of position embeddings for all positions

$$\mathbf{p}_t \cdot \mathbf{p}_{t+\phi} = \sum_k (\sin \omega_k t \cdot \sin \omega_k(t + \phi) + \cos \omega_k t \cdot \cos \omega_k(t + \phi))$$

$$\mathbf{p}_t \cdot \mathbf{p}_{t-\phi} = \sum_k (\sin \omega_k t \cdot \sin \omega_k(t - \phi) + \cos \omega_k t \cdot \cos \omega_k(t - \phi))$$

$$\begin{aligned}\sin \omega_k t \cdot \sin \omega_k(t + \phi) &= \sin \omega_k t \cdot (\sin \omega_k t \cos \omega_k \phi + \cos \omega_k t \sin \omega_k \phi) \\ &= \sin^2 \omega_k t \cos \omega_k \phi + \sin \omega_k t \cos \omega_k t \sin \omega_k \phi\end{aligned}$$

$$\begin{aligned}\cos \omega_k t \cdot \cos \omega_k(t + \phi) &= \cos \omega_k t \cdot (\cos \omega_k t \cos \omega_k \phi - \sin \omega_k t \sin \omega_k \phi) \\ &= \cos^2 \omega_k t \cos \omega_k \phi - \cos \omega_k t \sin \omega_k t \sin \omega_k \phi\end{aligned}$$

$$\begin{aligned}\sin \omega_k t \cdot \sin \omega_k(t + \phi) + \cos \omega_k t \cdot \cos \omega_k(t + \phi) \\ &= \sin^2 \omega_k t \cos \omega_k \phi + \cos^2 \omega_k t \sin \omega_k \phi\end{aligned}$$

$$\begin{aligned}\sin \omega_k t \cdot \sin \omega_k(t - \phi) + \cos \omega_k t \cdot \cos \omega_k(t - \phi) \\ &= \sin^2 \omega_k t \cos \omega_k \phi + \cos^2 \omega_k t \sin \omega_k \phi\end{aligned}$$

Positional Encoding

$$\mathbf{x} \in \mathbb{R}^L, \mathbf{X}, \mathbf{P} \in \mathbb{R}^{L \times d}, \mathbf{W} \in \mathbb{R}^{2d \times d}$$

$$\mathbf{X}' = \mathbf{X} + \mathbf{P} = \text{one-hot}(\mathbf{x}) \cdot \mathbf{E} + \mathbf{P}$$

$\mathbb{R}^{L \times d}$ **Fixed**

$$\mathbf{X}' = \text{Concat}[\mathbf{X}, \mathbf{P}] \cdot \mathbf{W} = \mathbf{X} \cdot \mathbf{W}_1 + \mathbf{P} \cdot \mathbf{W}_2 = \text{one-hot}(\mathbf{x}) \cdot \mathbf{E} \cdot \mathbf{W}_1 + \mathbf{P} \cdot \mathbf{W}_2$$

Learnable

```

class PositionalEncoding(nn.Module):
    def __init__(self, max_positions: int, dim_embed: int, drop_prob: float) -> None:
        super().__init__()
        L = dim_embed
        assert dim_embed % 2 == 0           -> d should be an even integer

        # Inspired by https://pytorch.org/tutorials/beginner/transformer_tutorial.html
        position = torch.arange(max_positions).unsqueeze(1)
        dim_pair = torch.arange(0, dim_embed, 2)           -> calculate  $2k$ 
        div_term = torch.exp(dim_pair * (-math.log(10000.0) / dim_embed))  $\omega_k = 10000^{-2k/d} = \exp\left(-\frac{2k}{d} \ln(10000)\right)$ 
        pe = torch.zeros(max_positions, dim_embed)
        pe[:, 0::2] = torch.sin(position * div_term)      ->  $\sin(t \cdot \omega_k)$  and  $\cos(t \cdot \omega_k)$ 
        pe[:, 1::2] = torch.cos(position * div_term)

        # Add a batch dimension: (1, max_positions, dim_embed)
        pe = pe.unsqueeze(0)

        # Register as non-learnable parameters
        self.register_buffer('pe', pe)

        self.dropout = nn.Dropout(p=drop_prob)

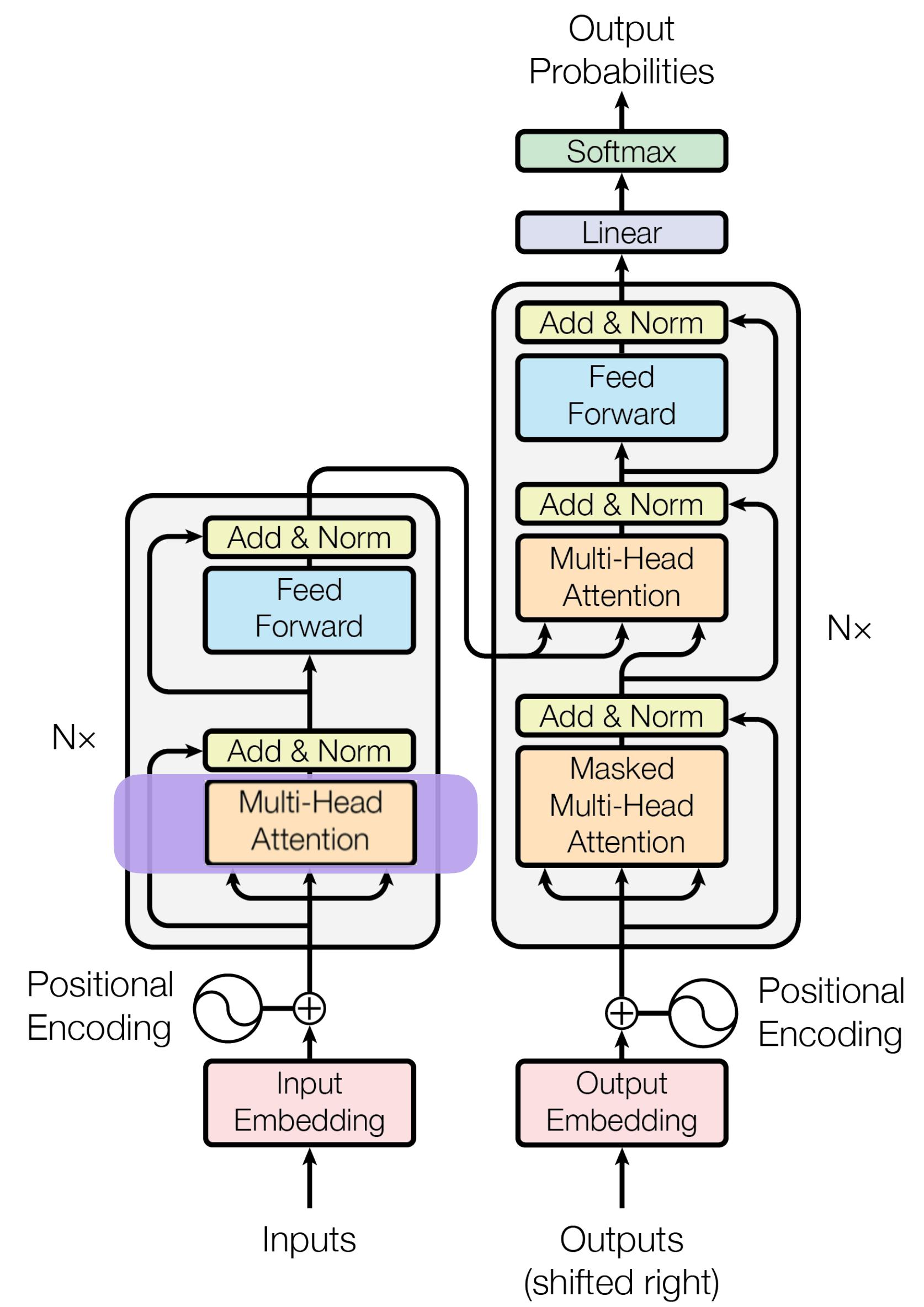
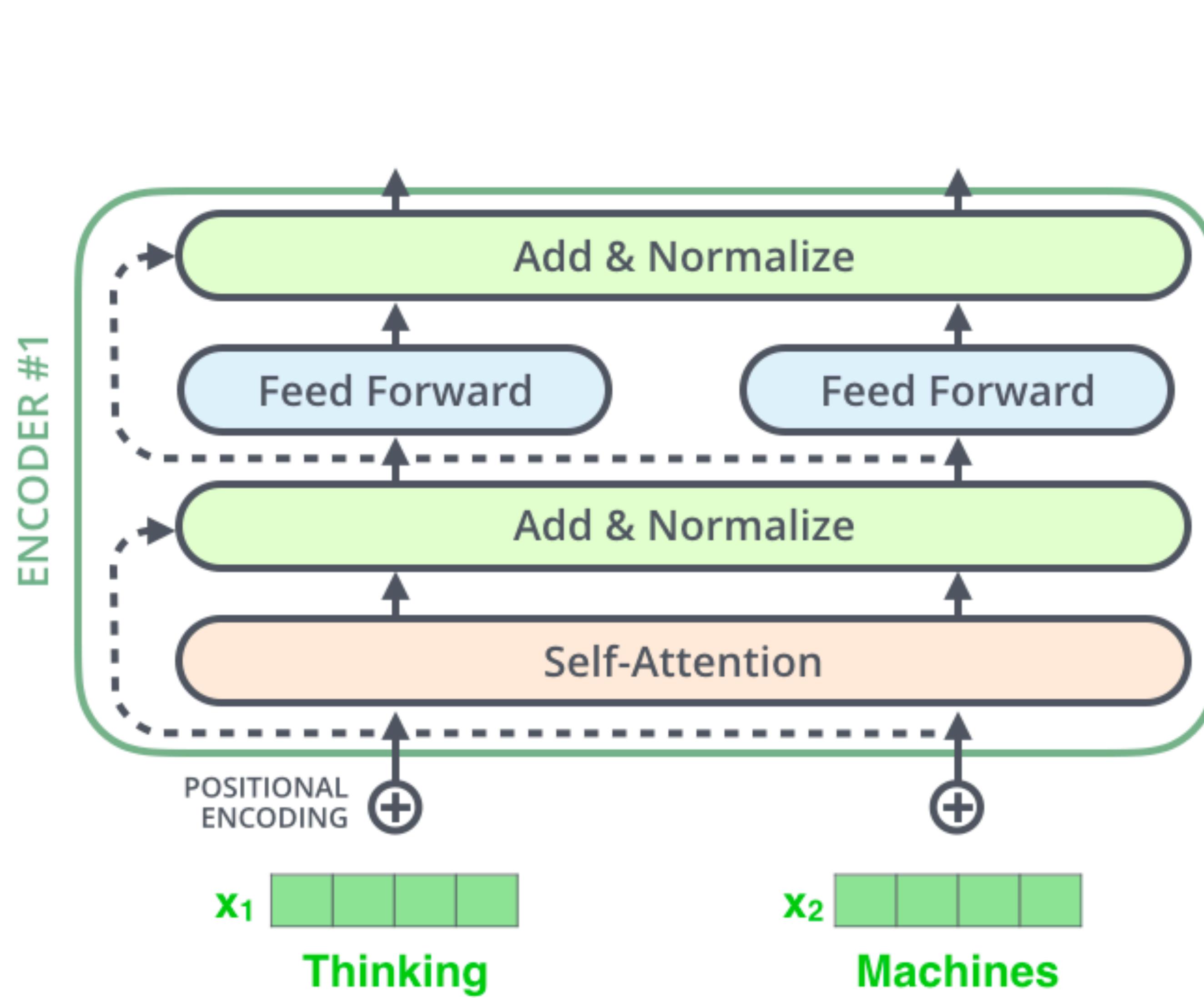
    def forward(self, x: Tensor) -> Tensor:
        # Max sequence length within the current batch
        max_sequence_length = x.size(1)

        # Add positional encoding up to the max sequence length
        x = x + self.pe[:, :max_sequence_length]
        x = self.dropout(x)
        return x

```

Multi-Head Attention

Self-Attention



Multi-Head Attention

Self-Attention

Input

Thinking

Embedding

\mathbf{x}_1

Machines

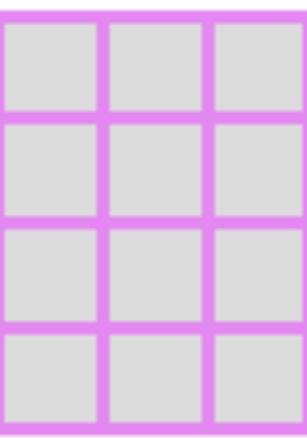
\mathbf{x}_2

$$\in \mathbb{R}^{1 \times d}$$

Queries

\mathbf{q}_1

\mathbf{q}_2



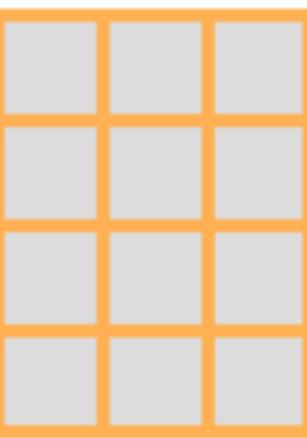
$$\mathbf{W}^Q \in \mathbb{R}^{d \times d_k}$$

$$\mathbf{q}_i = \mathbf{X}_i \cdot \mathbf{W}^Q$$

Keys

\mathbf{k}_1

\mathbf{k}_2

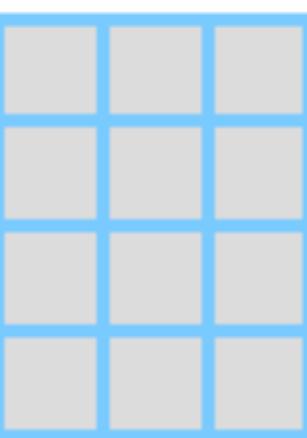


$$\mathbf{W}^K \in \mathbb{R}^{d \times d_k}$$

Values

\mathbf{v}_1

\mathbf{v}_2



$$\mathbf{W}^V \in \mathbb{R}^{d \times d_k}$$

Input

Embedding

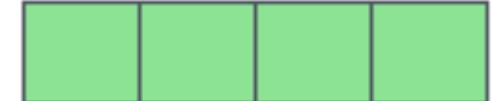
Queries

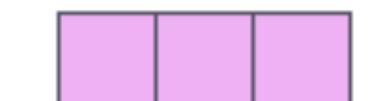
Keys

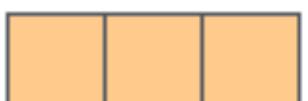
Values

Score

Thinking

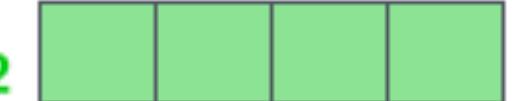
x_1 

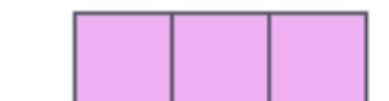
q_1 

k_1 

v_1 

Machines

x_2 

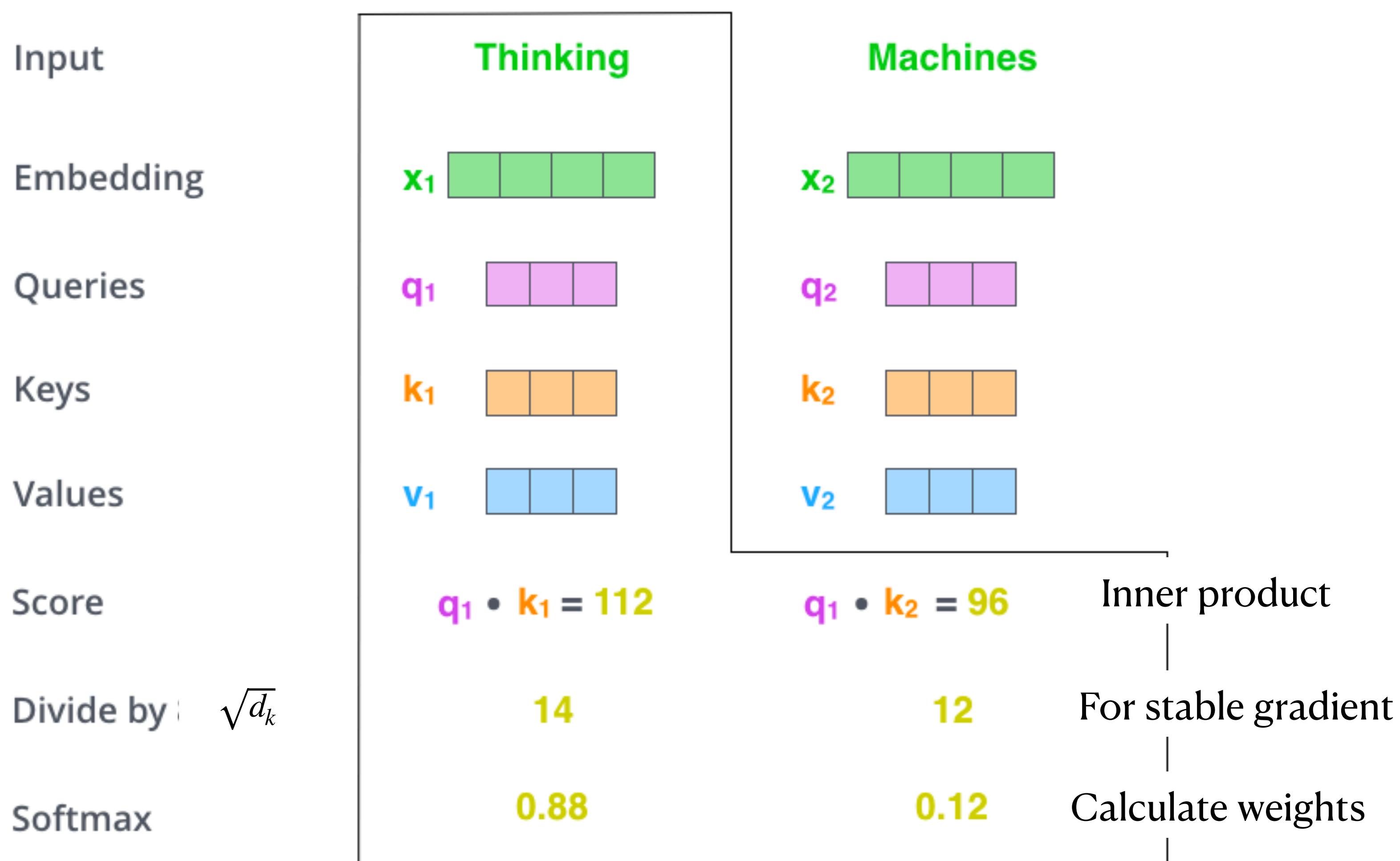
q_2 

k_2 

v_2 

$$q_1 \bullet k_1 = 112$$

$$q_1 \bullet k_2 = 96$$



“We suspect that **for large values of d_k** , the **dot products grow large in magnitude**, pushing the softmax function into regions where it has **extremely small gradients**. To counteract this effect, we scale the dot products by $\frac{1}{\sqrt{d_k}}$.” —Sec 3.2.1

Input

Embedding

Queries

Keys

Values

Score

Divide by $\sqrt{d_k}$

Softmax

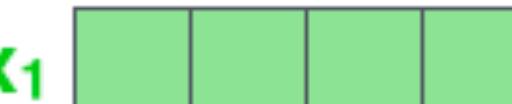
Softmax

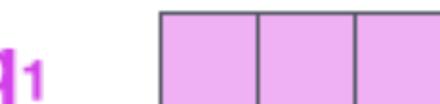
X

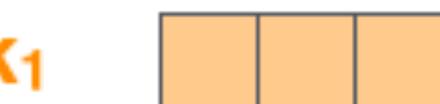
Value

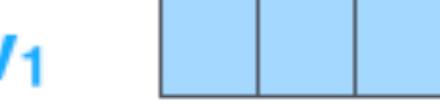
Sum

Thinking

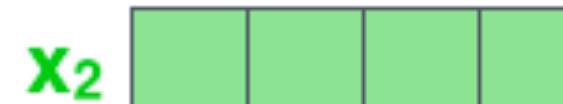
x_1 

q_1 

k_1 

v_1 

Machines

x_2 

q_2 

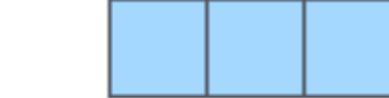
k_2 

v_2 

$$q_1 \cdot k_1 = 112$$

14

0.88

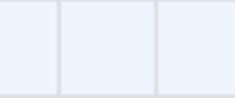
v_1 

z_1 

$$q_1 \cdot k_2 = 96$$

12

0.12

v_2 

z_2 

Inner product

For stable gradient

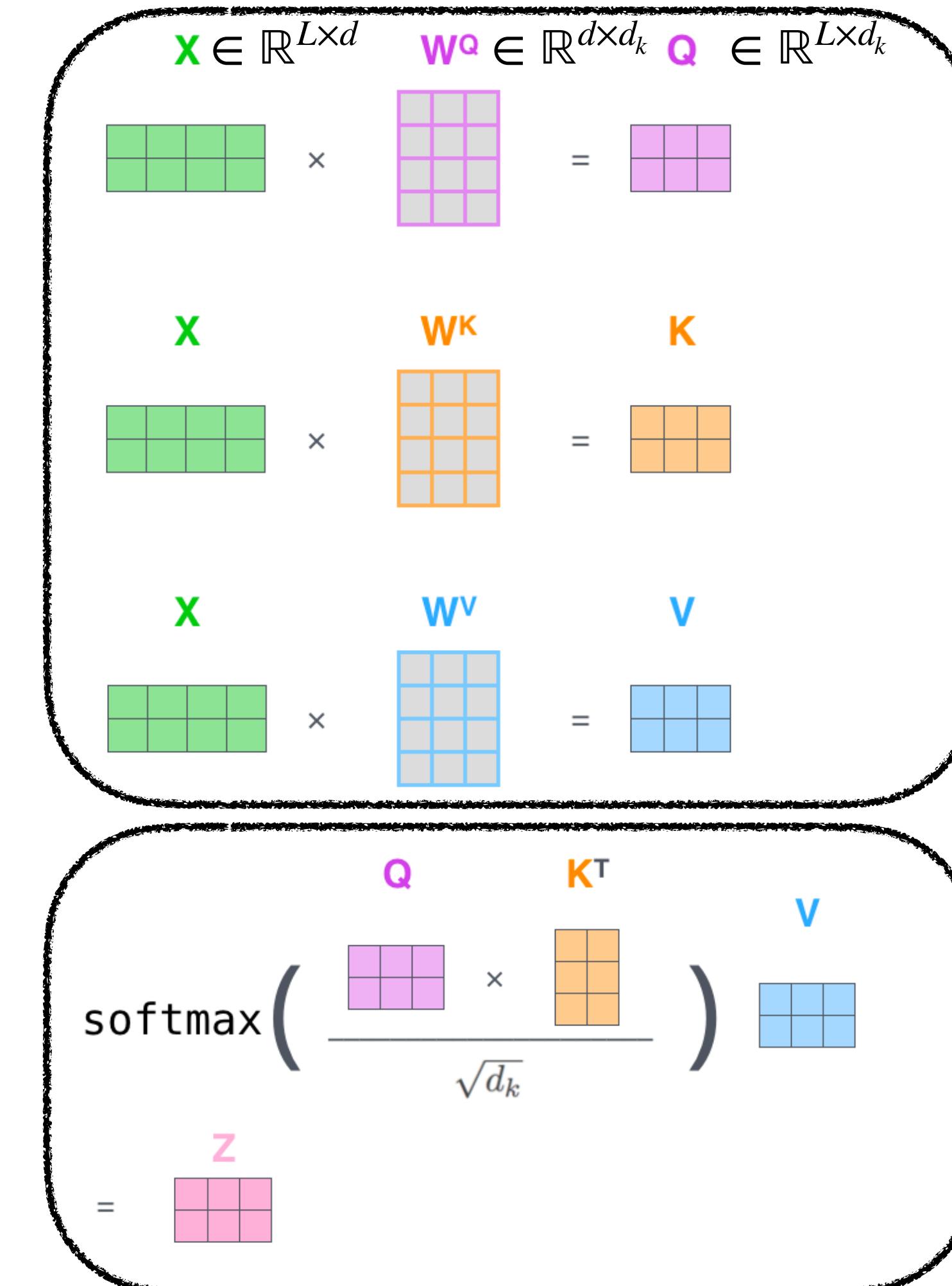
Calculate weights

Weighted sum

Multi-Head Attention

Matrix Calculation of Self-Attention

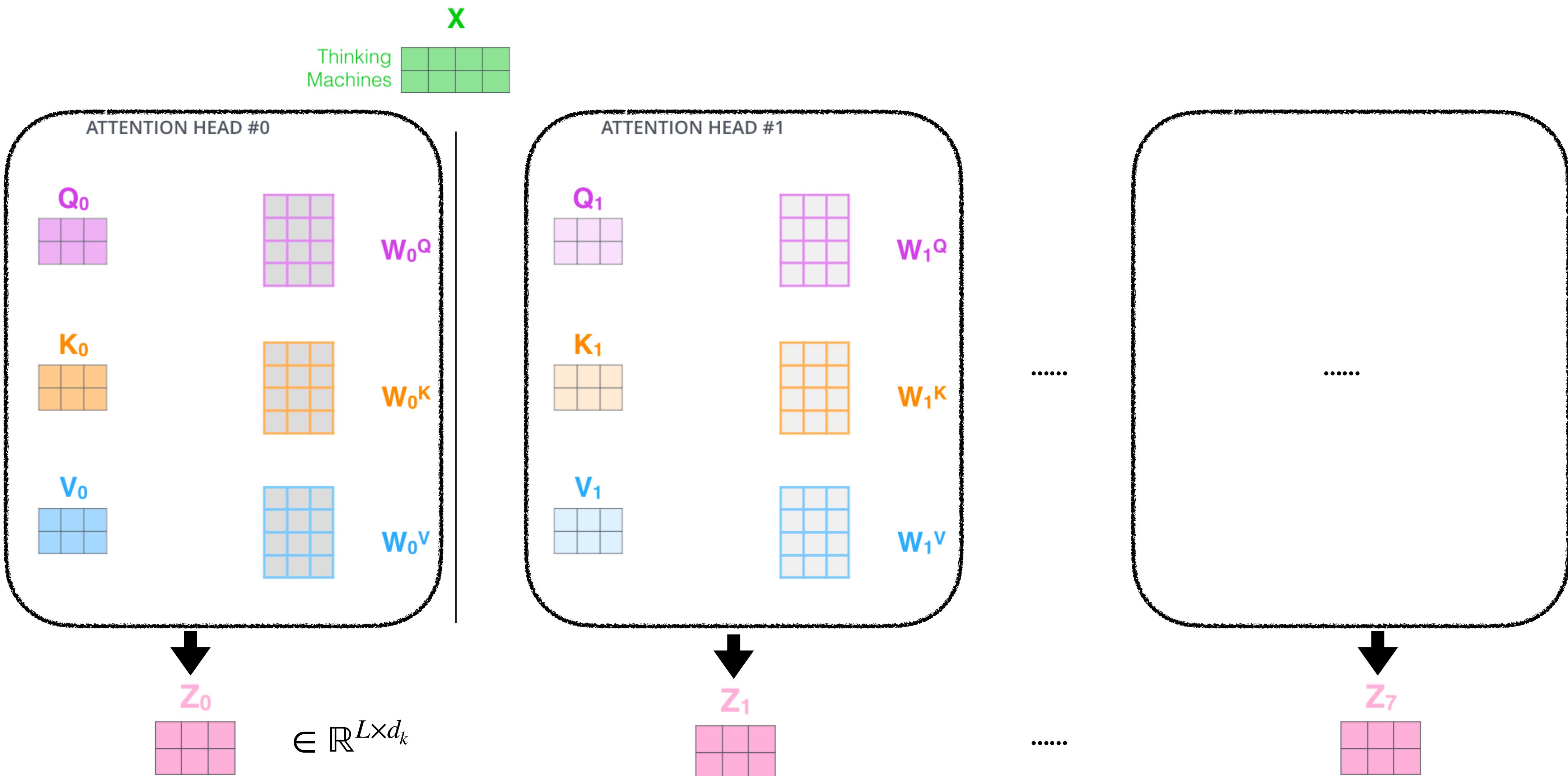
Input	Thinking	Machines
Embedding	x_1	x_2
Queries	q_1	q_2
Keys	k_1	k_2
Values	v_1	v_2
Score	$q_1 \cdot k_1 = 112$	$q_1 \cdot k_2 = 96$
Divide by $\sqrt{d_k}$	14	12
Softmax	0.88	0.12
Softmax \times Value	v_1	v_2
Sum	z_1	z_2



$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

Multi-Head Attention

Multi-Head



Multi-Head Attention

Multi-Head

1) Concatenate all the attention heads

$$\begin{matrix} Z_0 & Z_1 & Z_2 & Z_3 & Z_4 & Z_5 & Z_6 & Z_7 \end{matrix}$$

$\in \mathbb{R}^{L \times (H \cdot d_k)}$
 H is number of heads

2) Multiply with a weight matrix W^O that was trained jointly with the model

$$\begin{matrix} Z \\ \times \\ W^O \end{matrix} = \begin{matrix} Z \end{matrix}$$

$\in \mathbb{R}^{(H \cdot d_k) \times d}$ $\in \mathbb{R}^{L \times d}$

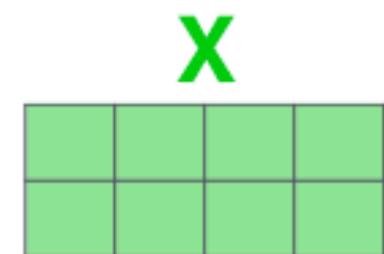
$$\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \dots, \text{head}_H)W^O$$

where $\text{head}_i = \text{Attention}(QW_i^Q, KW_i^K, VW_i^V)$

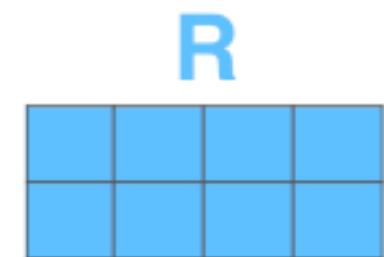
Multi-Head Attention

- 1) This is our input sentence* X
- 2) We embed each word* R
- 3) Split into 8 heads. We multiply X or R with weight matrices W_0^Q, W_0^K, W_0^V , W_1^Q, W_1^K, W_1^V , ..., W_7^Q, W_7^K, W_7^V
- 4) Calculate attention using the resulting $Q/K/V$ matrices
- 5) Concatenate the resulting Z matrices, then multiply with weight matrix W^O to produce the output of the layer

Thinking
Machines

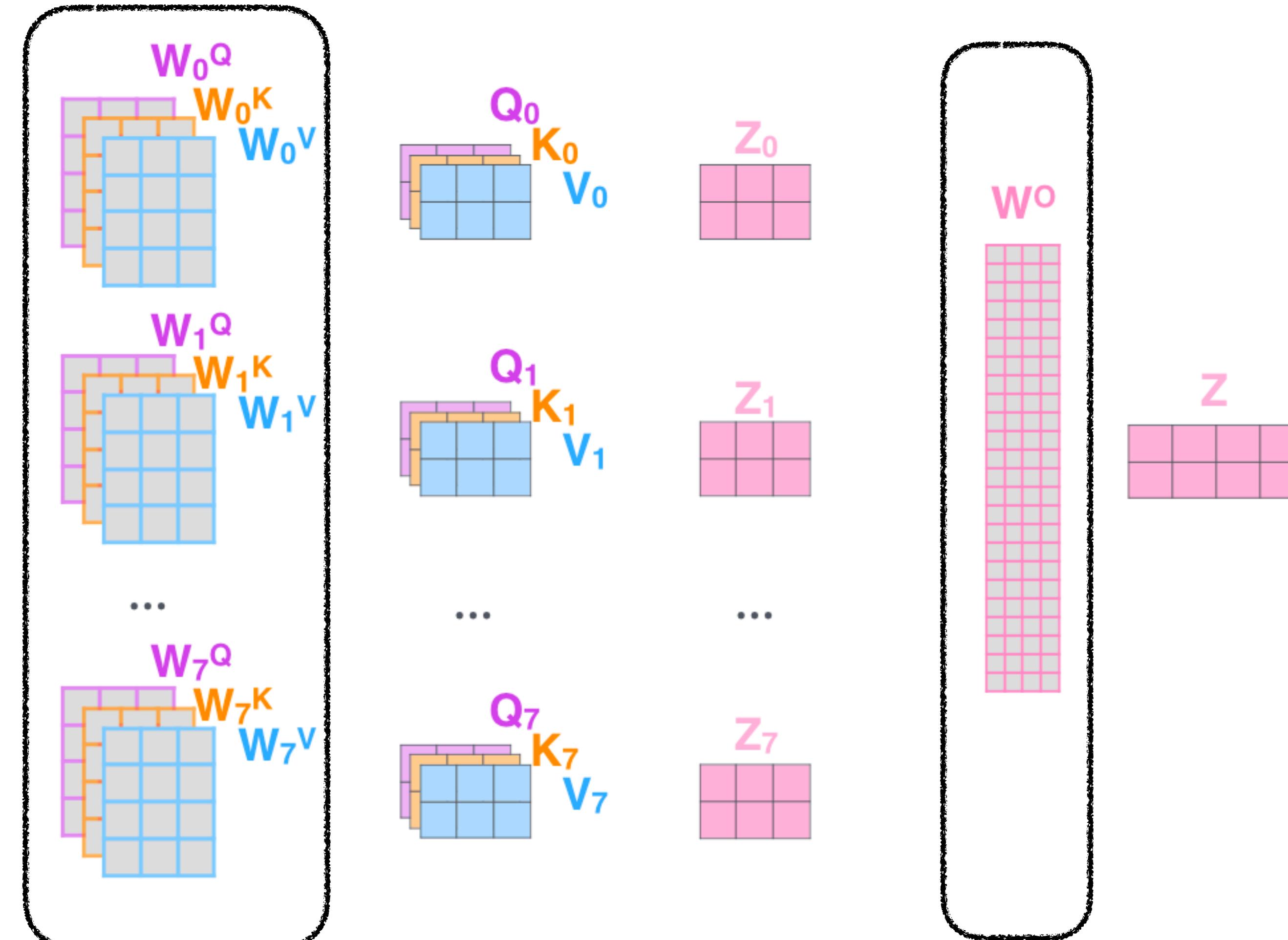


* In all encoders other than #0, we don't need embedding. We start directly with the output of the encoder right below this one



Default choice: $d_k = \frac{d}{H}$

e.g., $d = 512, H = 8 \rightarrow d_k = 64$



$H \times \text{Linear}(d, d_k)$
 $\rightarrow \text{Linear}(d, Hd_k)$
 $\rightarrow \text{Linear}(d, d)$

$\text{Linear}(Hd_k, d)$
 $\rightarrow \text{Linear}(d, d)$

Multi-Head Attention

```
class MultiHeadAttention(nn.Module):
    def __init__(self, num_heads: int, dim_embed: int, drop_prob: float) -> None:
        super().__init__()
        assert dim_embed % num_heads == 0

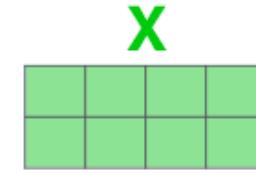
        H self.num_heads = num_heads
        d self.dim_embed = dim_embed
        dk self.dim_head = dim_embed // num_heads

        self.query = nn.Linear(dim_embed, dim_embed) Linear(d, d)
        self.key = nn.Linear(dim_embed, dim_embed) Linear(d, d)
        self.value = nn.Linear(dim_embed, dim_embed) Linear(d, d)
        self.output = nn.Linear(dim_embed, dim_embed)
        self.dropout = nn.Dropout(drop_prob)
```

Multi-Head Attention

1) This is our input sentence* each word*

Thinking Machines

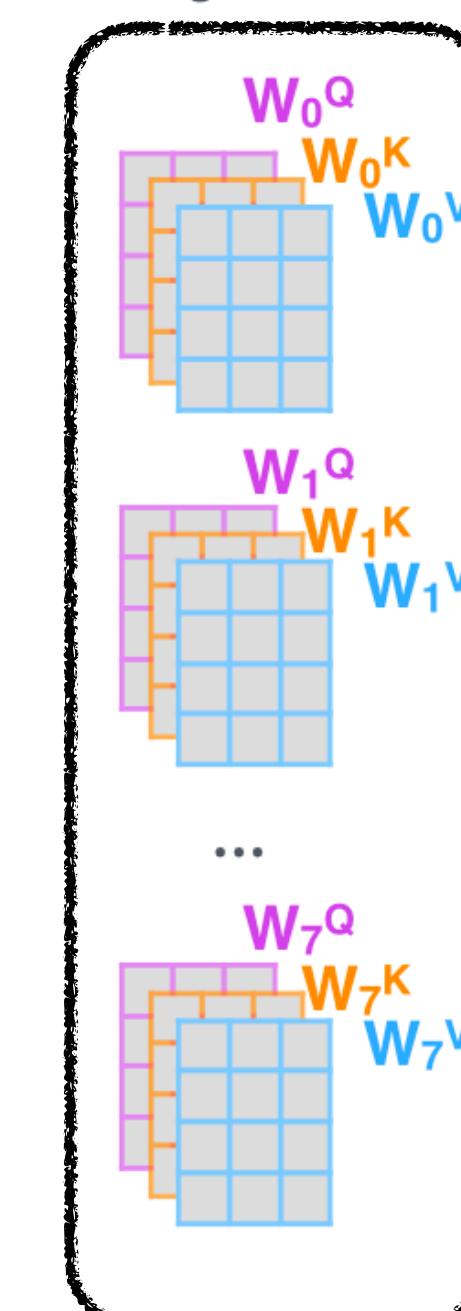


* In all encoders other than #0, we don't need embedding. We start directly with the output of the encoder right below this one



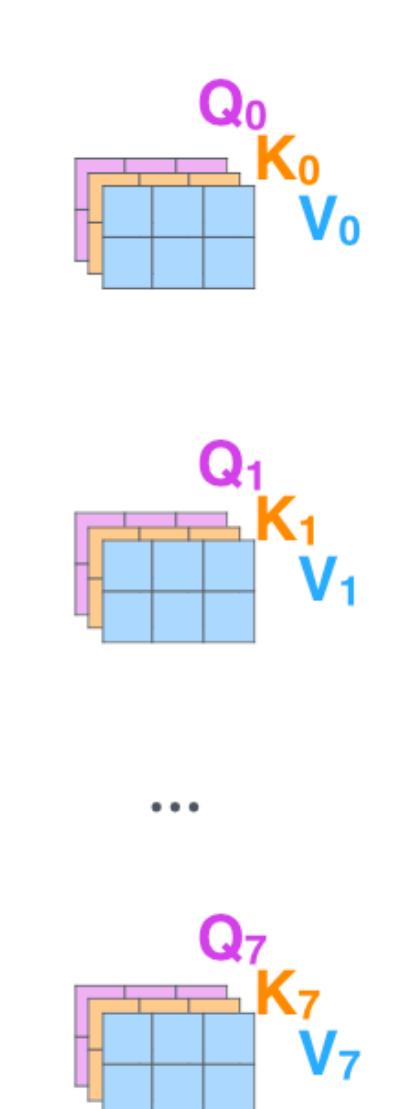
2) We embed each word*

3) Split into 8 heads. We multiply X or R with weight matrices

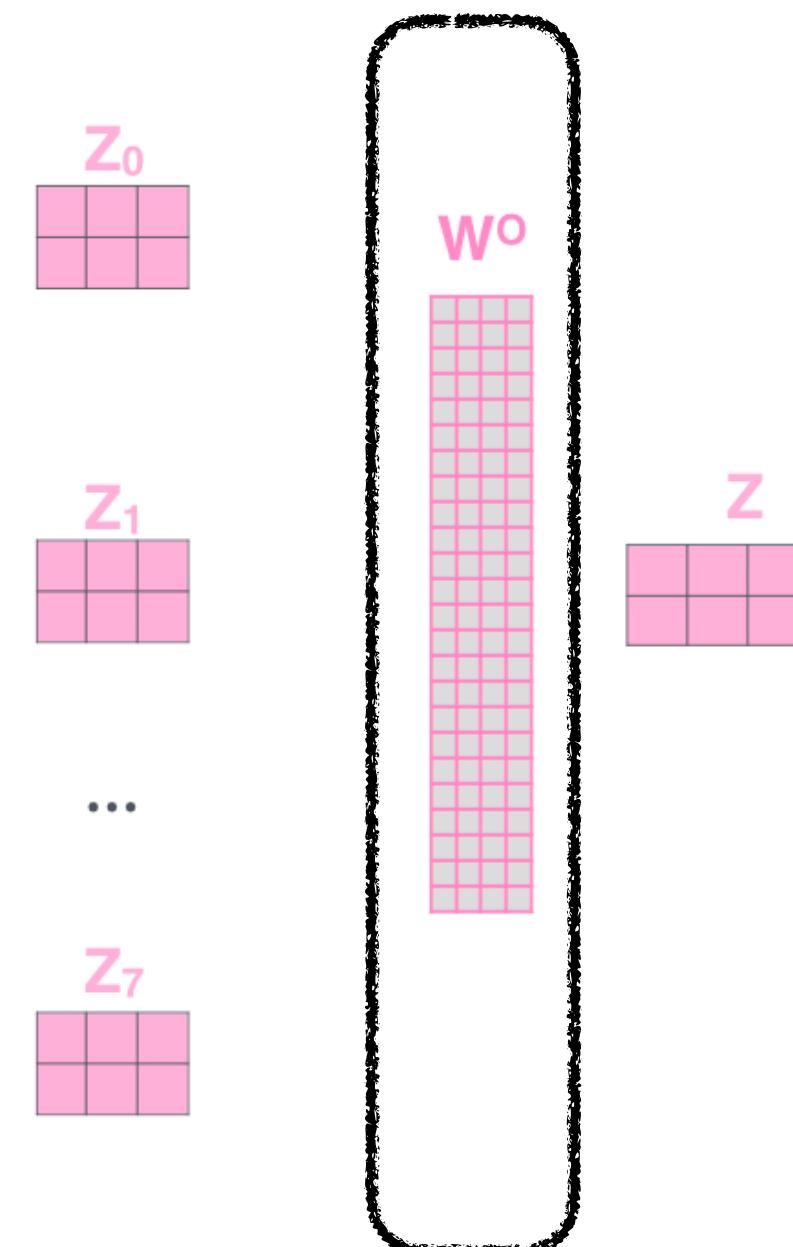


$H \times \text{Linear}(d, d_k)$
 $\rightarrow \text{Linear}(d, Hd_k)$
 $\rightarrow \text{Linear}(d, d)$

4) Calculate attention using the resulting Q/K/V matrices



5) Concatenate the resulting Z matrices, then multiply with weight matrix W^O to produce the output of the layer



$\text{Linear}(Hd_k, d)$
 $\rightarrow \text{Linear}(d, d)$

```
def forward(self, x: Tensor, y: Tensor, mask: Tensor=None) -> Tensor:
    query = self.query(x)
    key   = self.key (y)           (B, L, Hd_k)
    value = self.value(y)

    batch_size = x.size(0)
    query = query.view(batch_size, -1, self.num_heads, self.dim_head)
    key   = key   .view(batch_size, -1, self.num_heads, self.dim_head)
    value = value.view(batch_size, -1, self.num_heads, self.dim_head)

    # Into the number of heads (batch_size, num_heads, -1, dim_head)
    query = query.transpose(1, 2)
    key   = key   .transpose(1, 2)
    value = value.transpose(1, 2)   (B, H, L, d_k)

    if mask is not None:
        mask = mask.unsqueeze(1)

    attn = attention(query, key, value, mask)
    attn = attn.transpose(1, 2).contiguous().view(batch_size, -1, self.dim_embed)

    out = self.dropout(self.output(attn))

    return out
```

```
def attention(query: Tensor, key: Tensor, value: Tensor, mask: Tensor=None) -> Tensor:
    sqrt_dim_head = query.shape[-1]**0.5  $\sqrt{d_k}$ 

    scores = torch.matmul(query, key.transpose(-2, -1))
    scores = scores / sqrt_dim_head

    if mask is not None:
        scores = scores.masked_fill(mask==0, -1e9)

    weight = F.softmax(scores, dim=-1)
    return torch.matmul(weight, value)
```

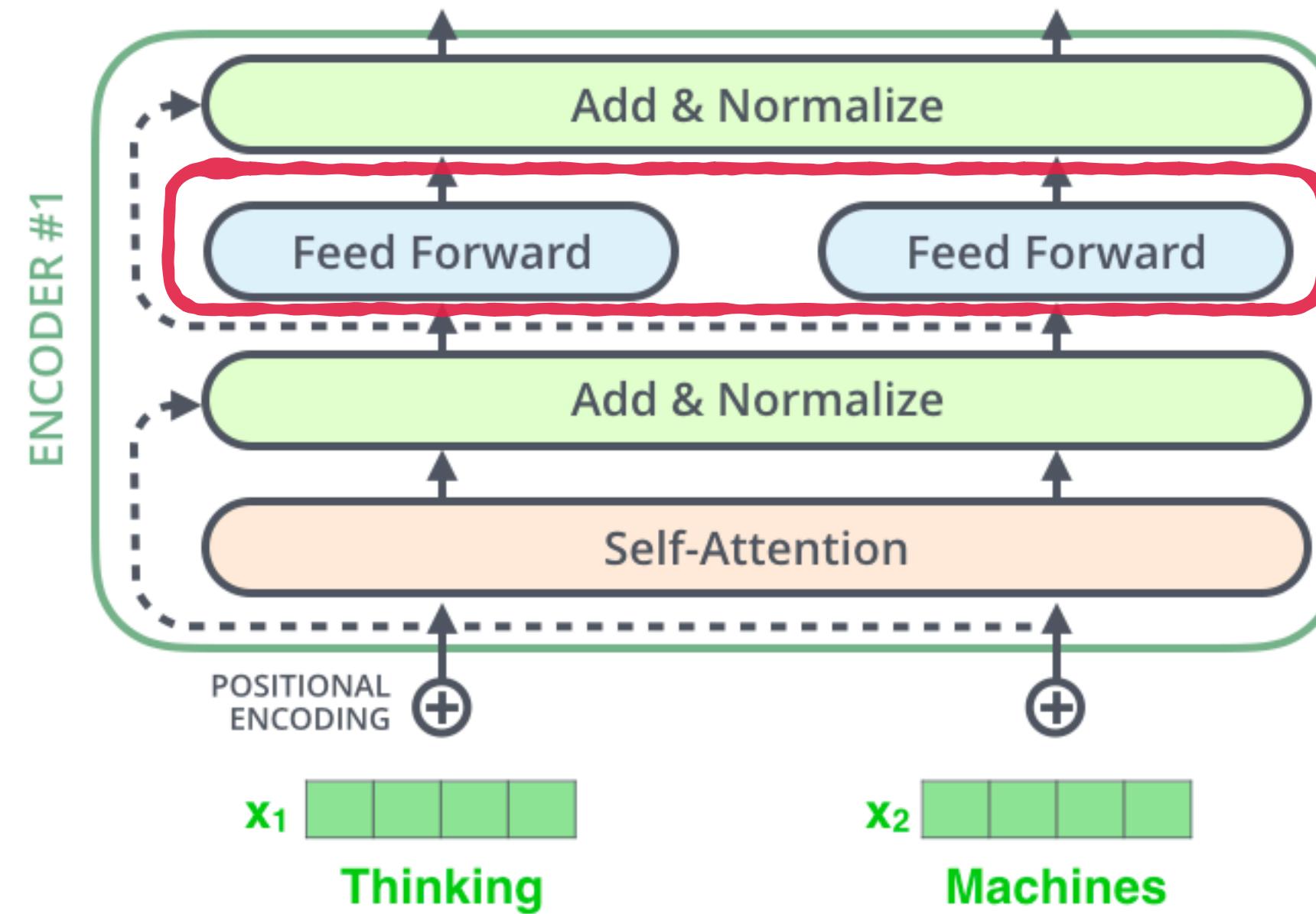
$$\text{Scores} = \frac{QK^T}{\sqrt{d_k}}$$

$$\text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

Position-Wise Feed-Forward

Goal: Inject non-linearity into embedding vectors.

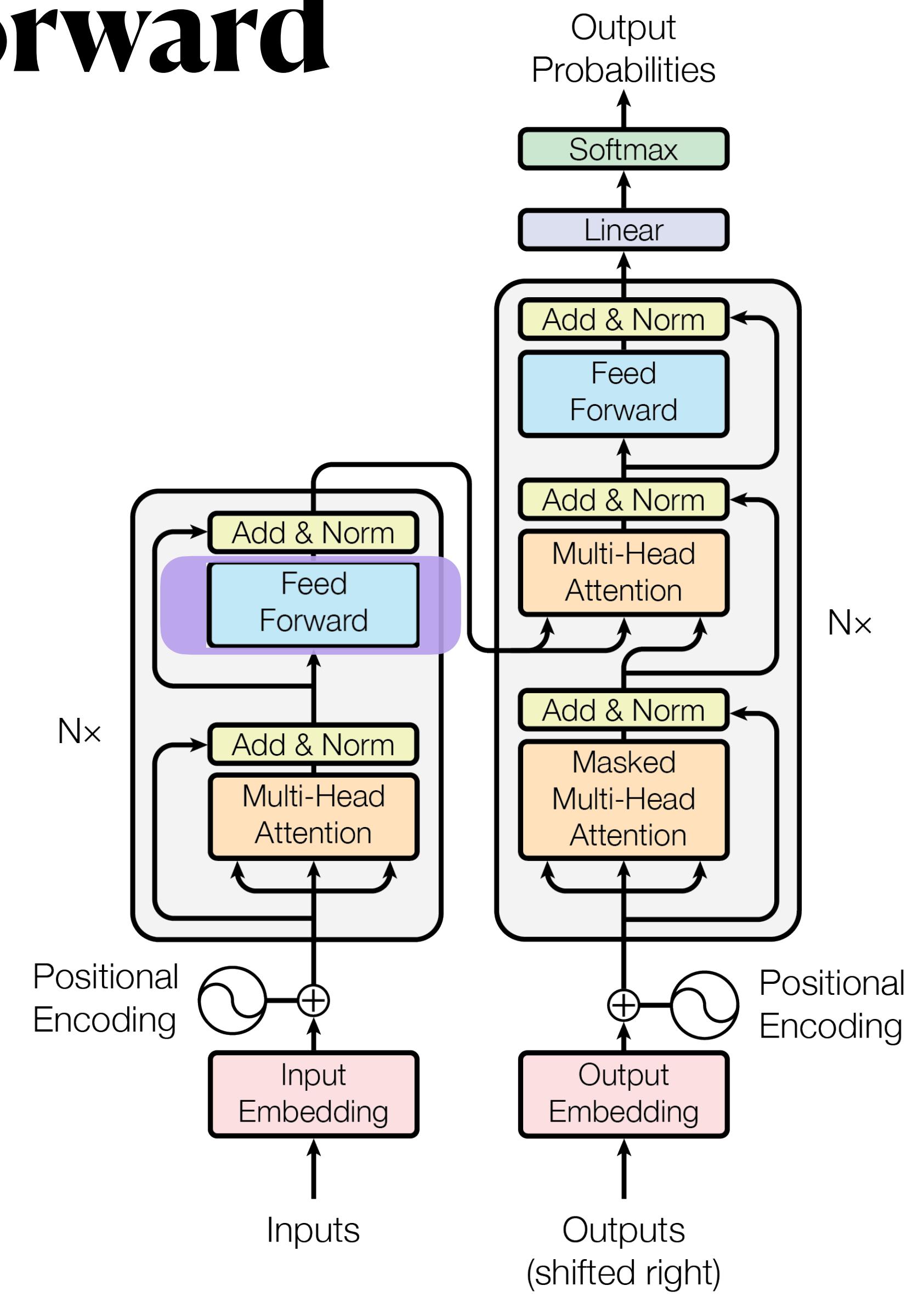
Linear operations are applied to each position independently and identically.



$$\text{FFN}(x) = \max(0, xW_1 + b_1)W_2 + b_2$$

$$W_1 \in \mathbb{R}^{d \times d_p}, W_2 \in \mathbb{R}^{d_p \times d}$$

Two Linear layers with ReLU activation



Position-Wise Feed-Forward

```
class PositionwiseFeedForward(nn.Module):
    def __init__(self, dim_embed: int, dim_pffn: int, drop_prob: float) -> None:
        super().__init__()
        self.pffn = nn.Sequential(
            Linear( $d, d_p$ ) nn.Linear(dim_embed, dim_pffn),
            ReLU nn.ReLU(inplace=True),
            nn.Dropout(drop_prob),
            Linear( $d_p, d$ ) nn.Linear(dim_pffn, dim_embed),
            nn.Dropout(drop_prob),
        )

    def forward(self, x: Tensor) -> Tensor:
        return self.pffn(x)
```

$$\text{FFN}(x) = \max(0, xW_1 + b_1)W_2 + b_2$$

$$W_1 \in \mathbb{R}^{d \times d_p}, W_2 \in \mathbb{R}^{d_p \times d}$$

Two Linear layers with ReLU activation

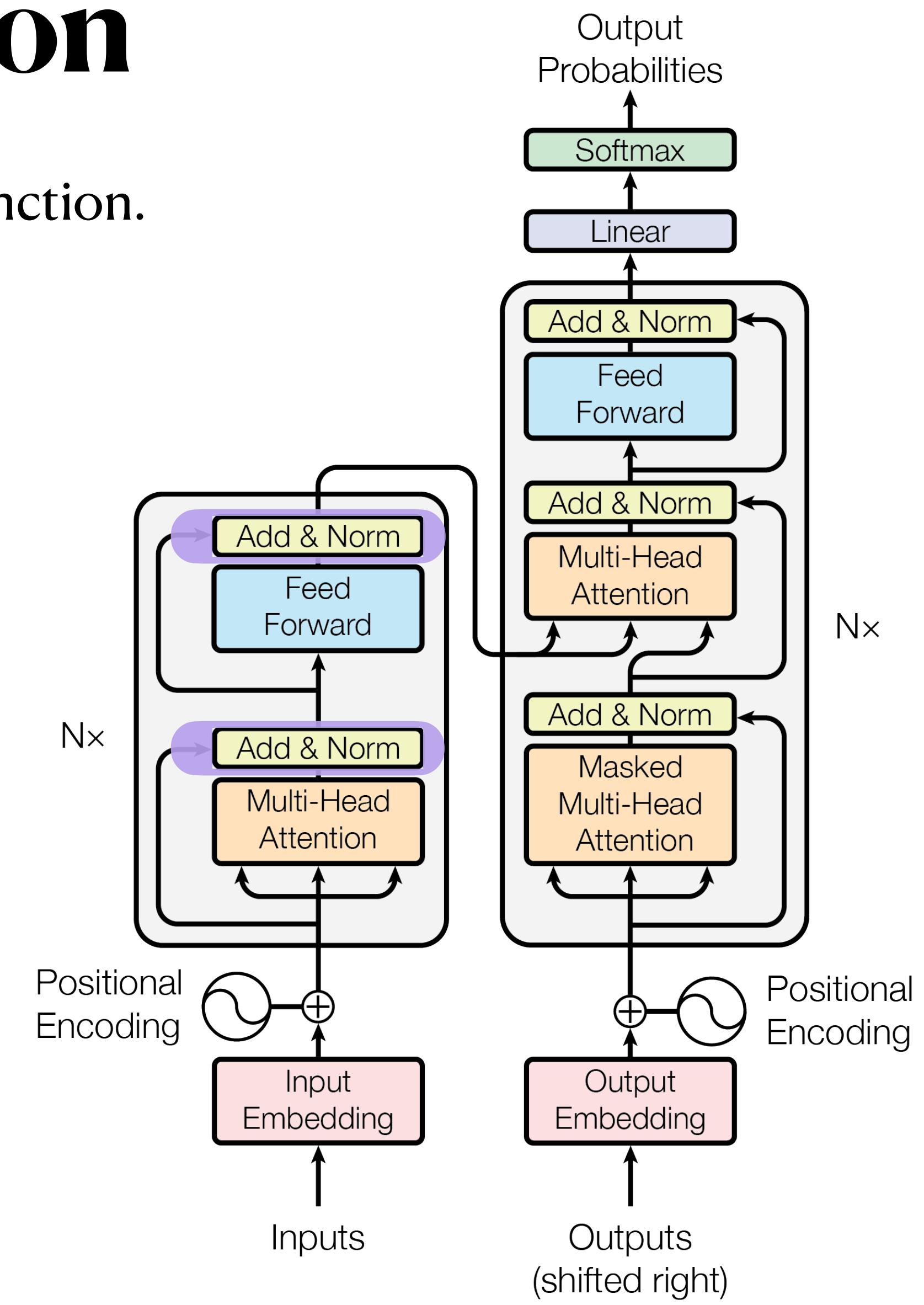
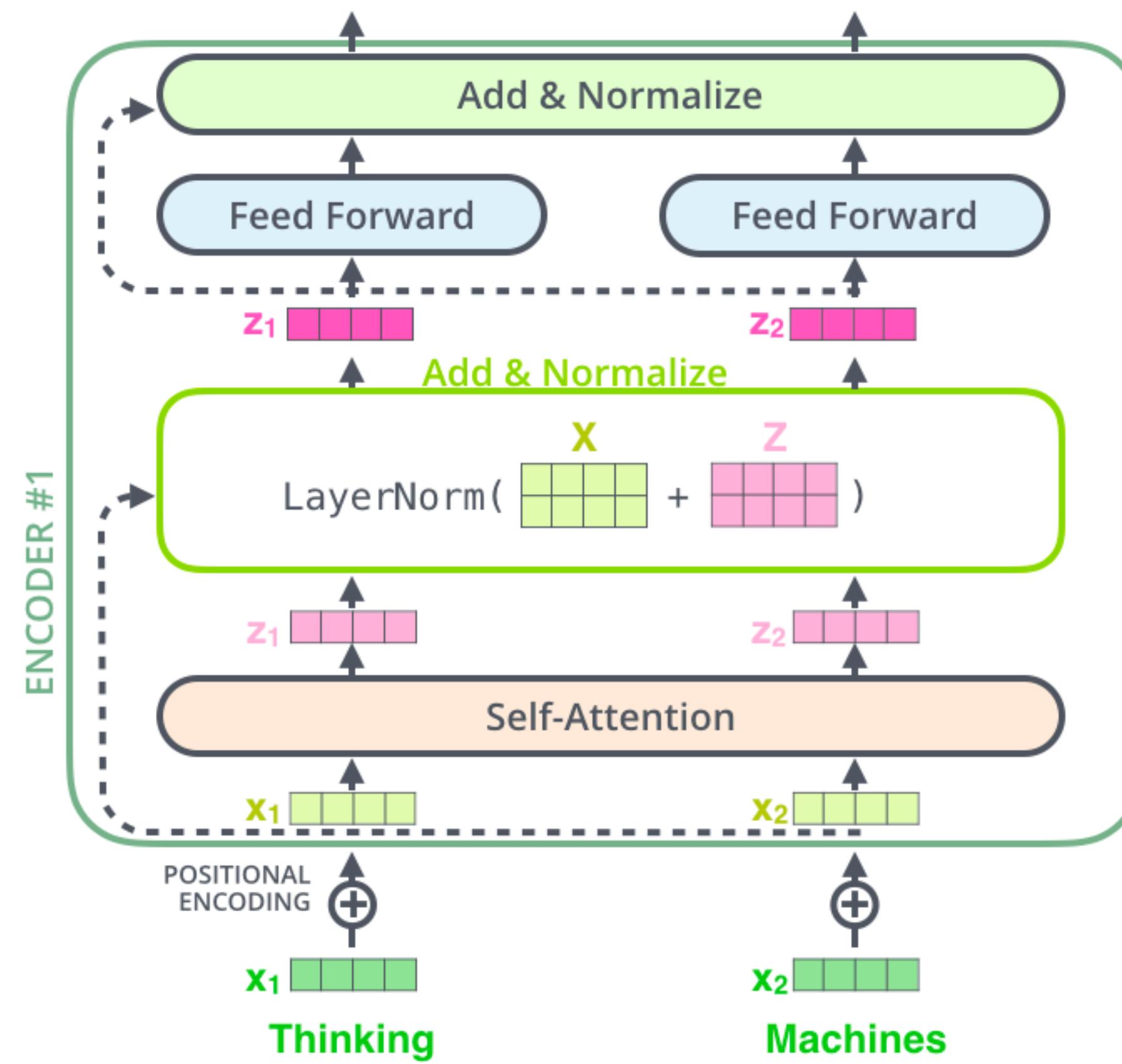
Residual Connection

Goal: Mitigate the vanishing gradient problem.

During BP, the signal gets multiplied by the derivative of the activation function.

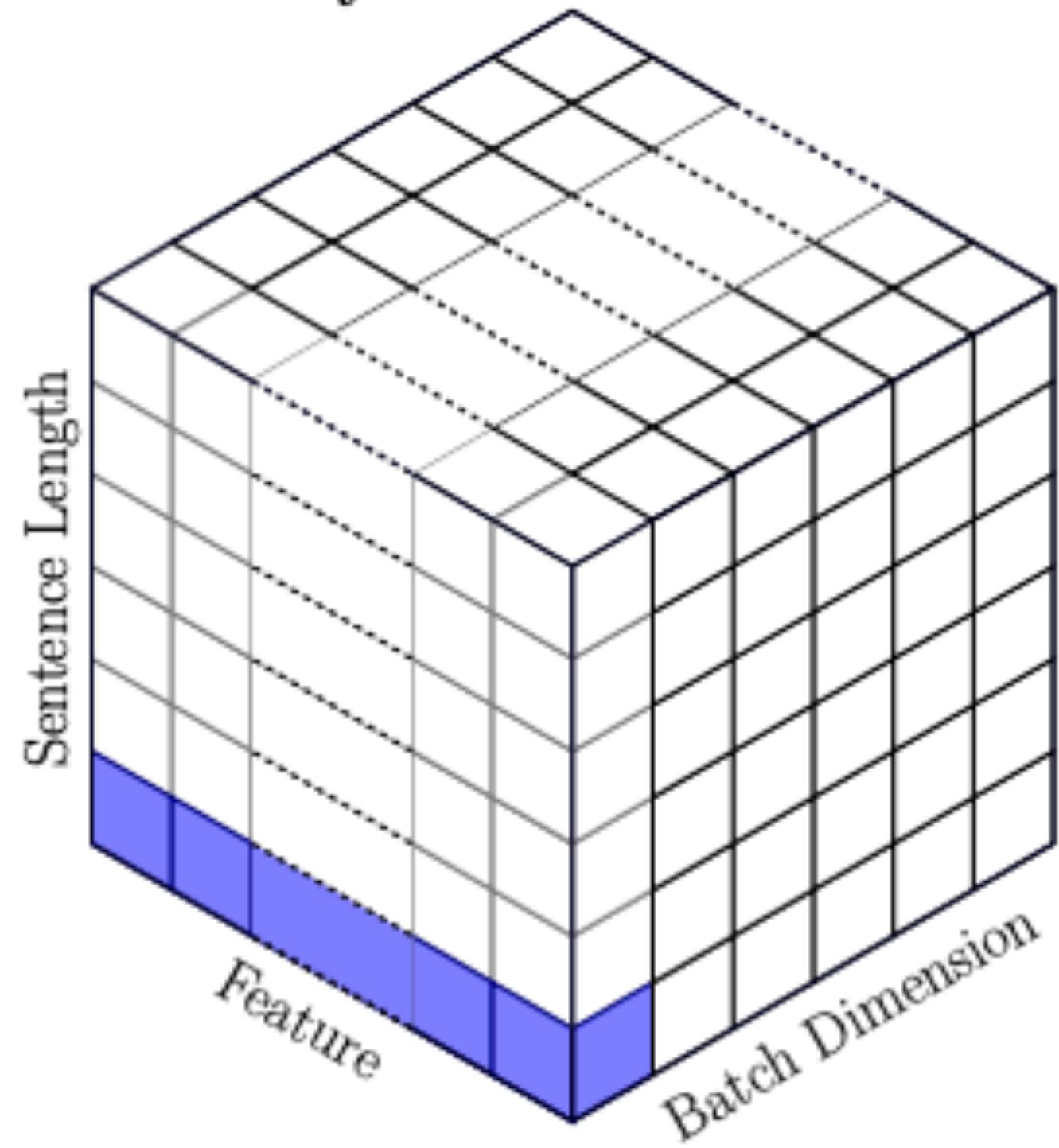
E.g., ReLU, about the half of the cases, the gradient is zero.

Without residual-> large part of training gets lost.

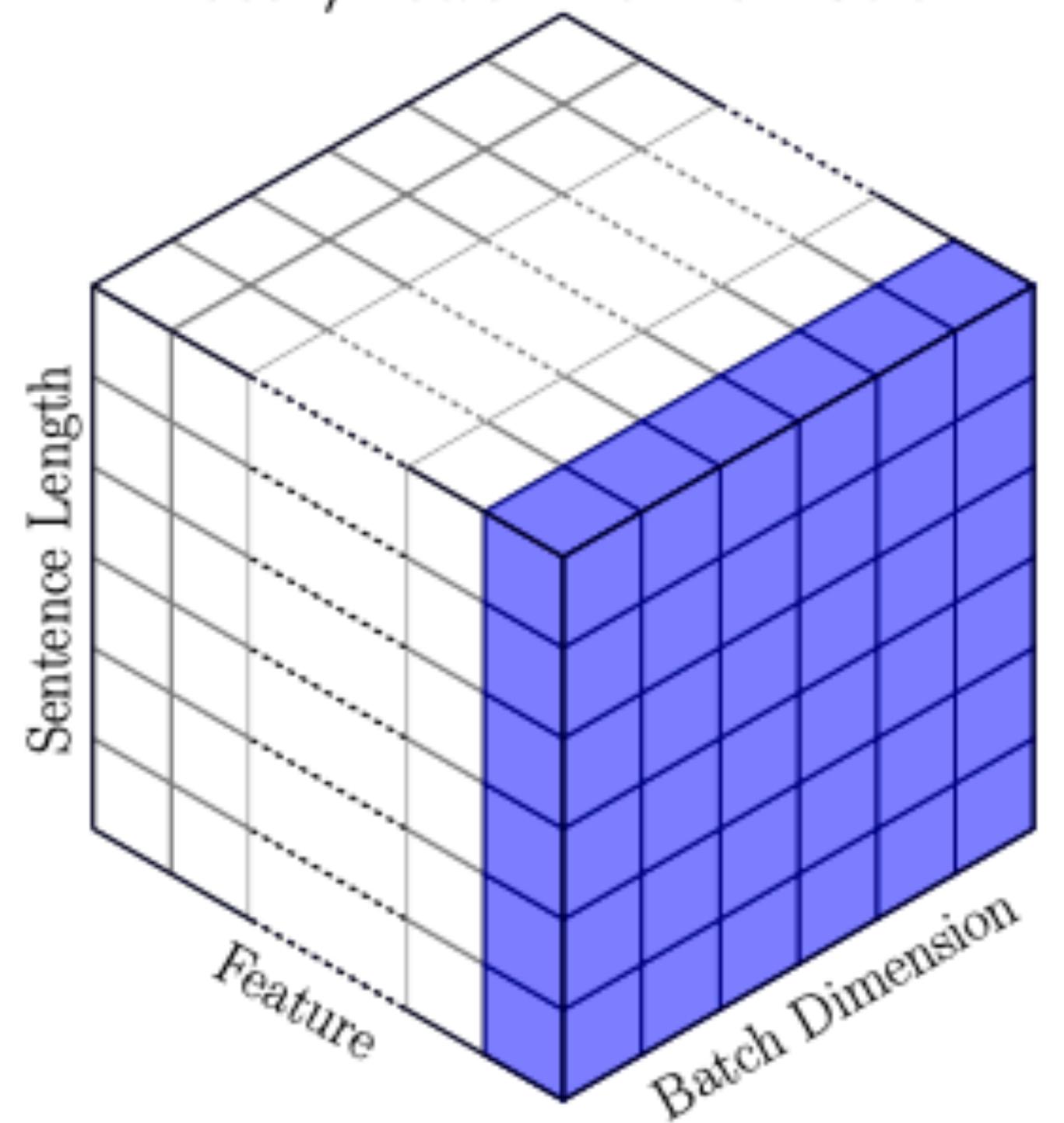


LN and BN

Layer Normalization



Batch/Power Normalization



Normalization helps to stabilize the gradient in BP.

BN doesn't perform good on RNN

Then people proposed LN-> default choice for NLP

LN fits sequence data with unfixed length (operating on feature)

Related Papers:

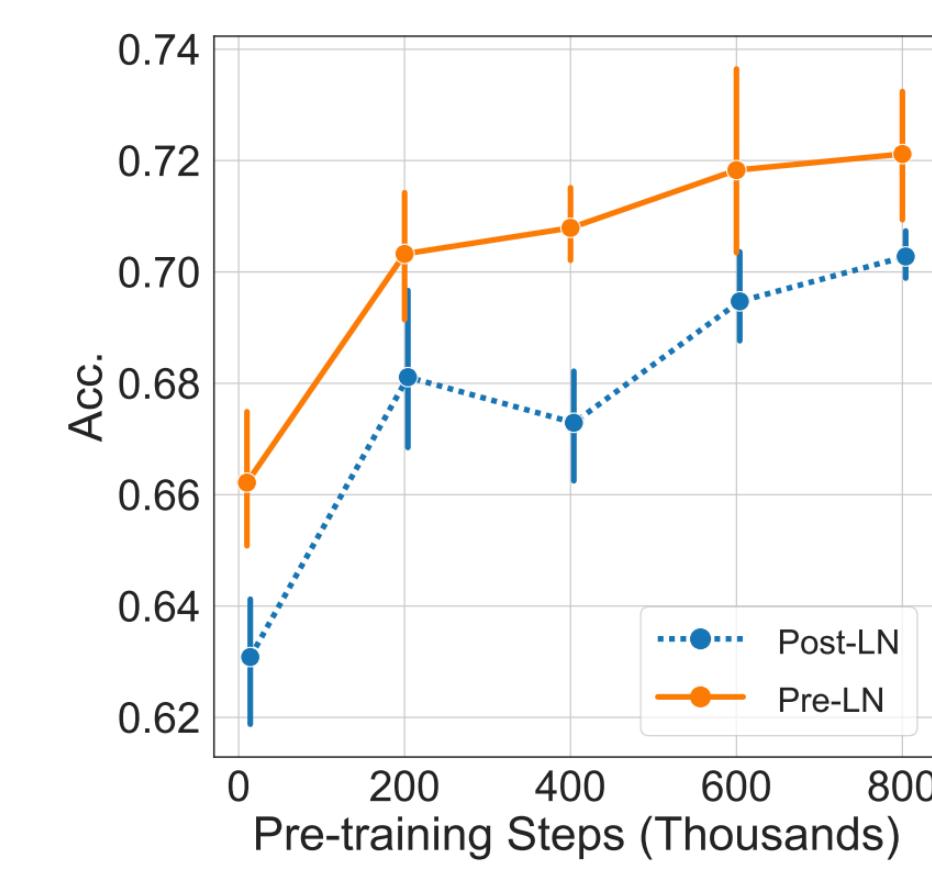
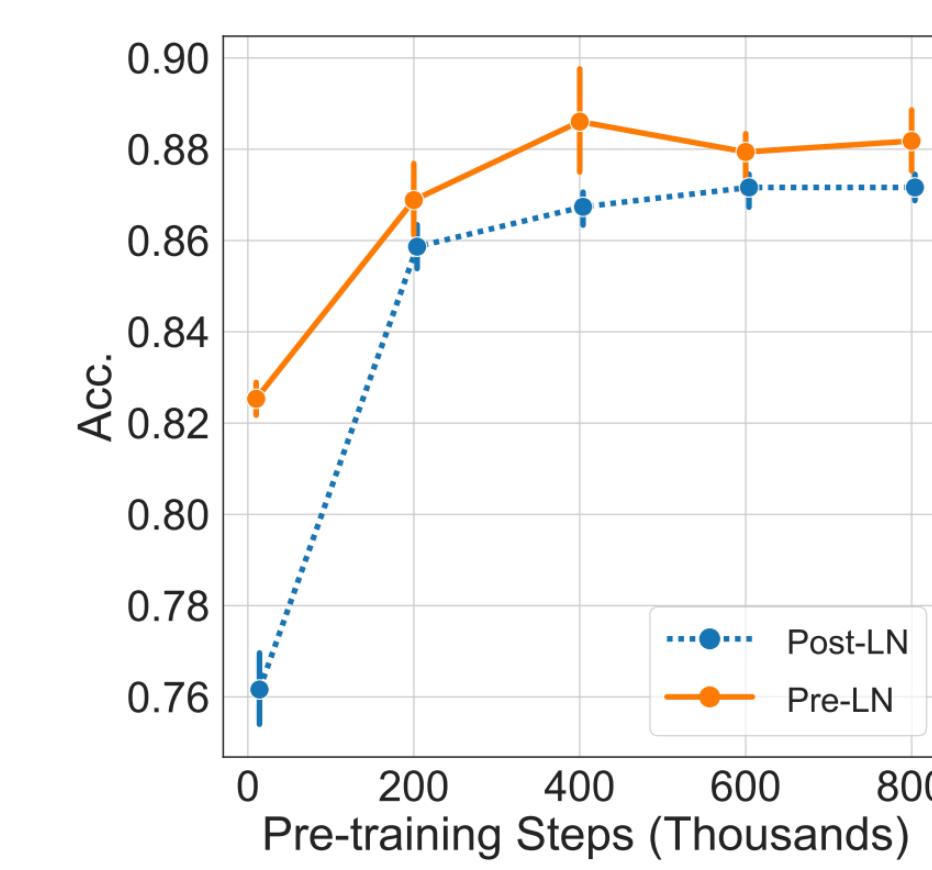
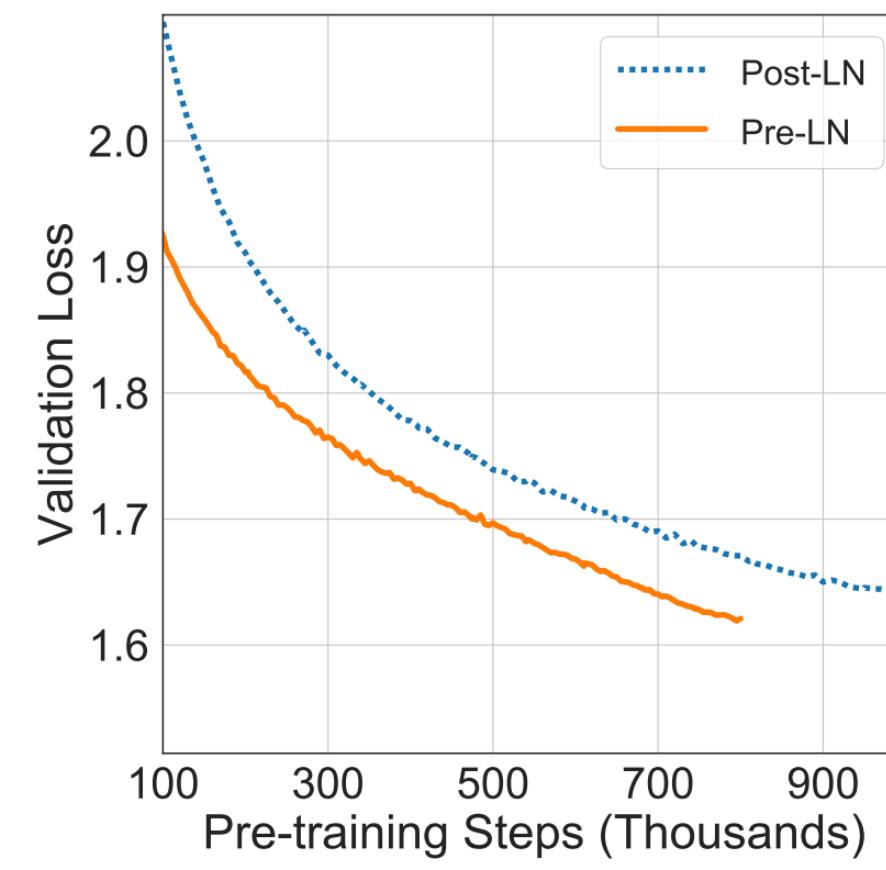
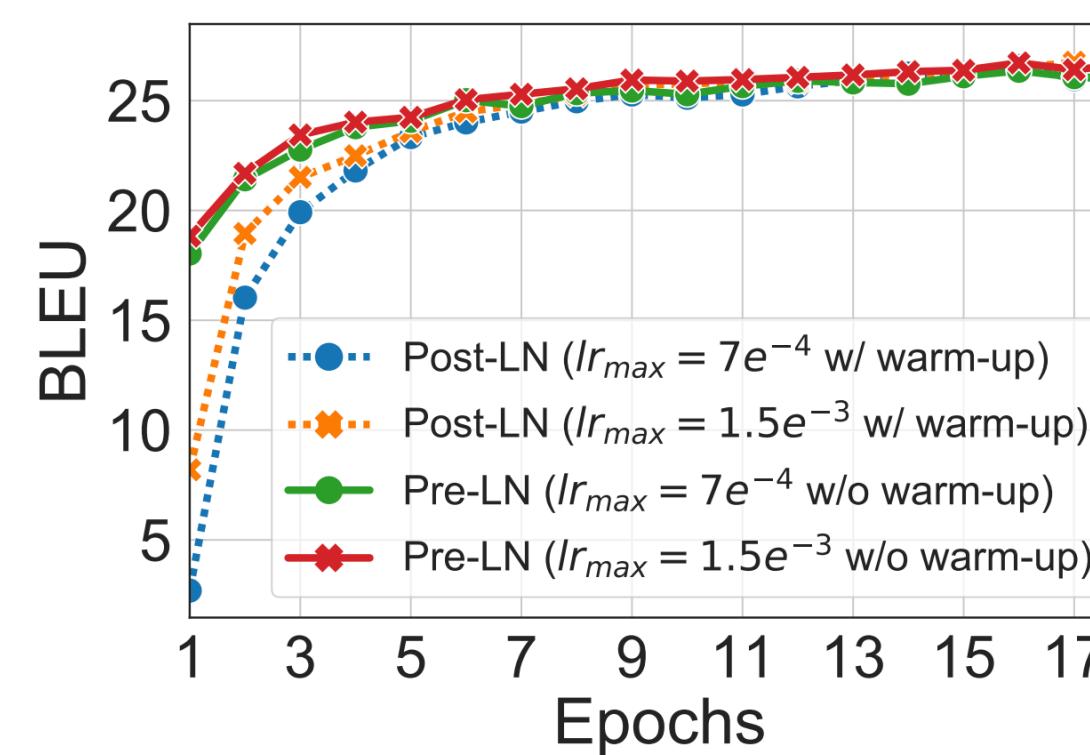
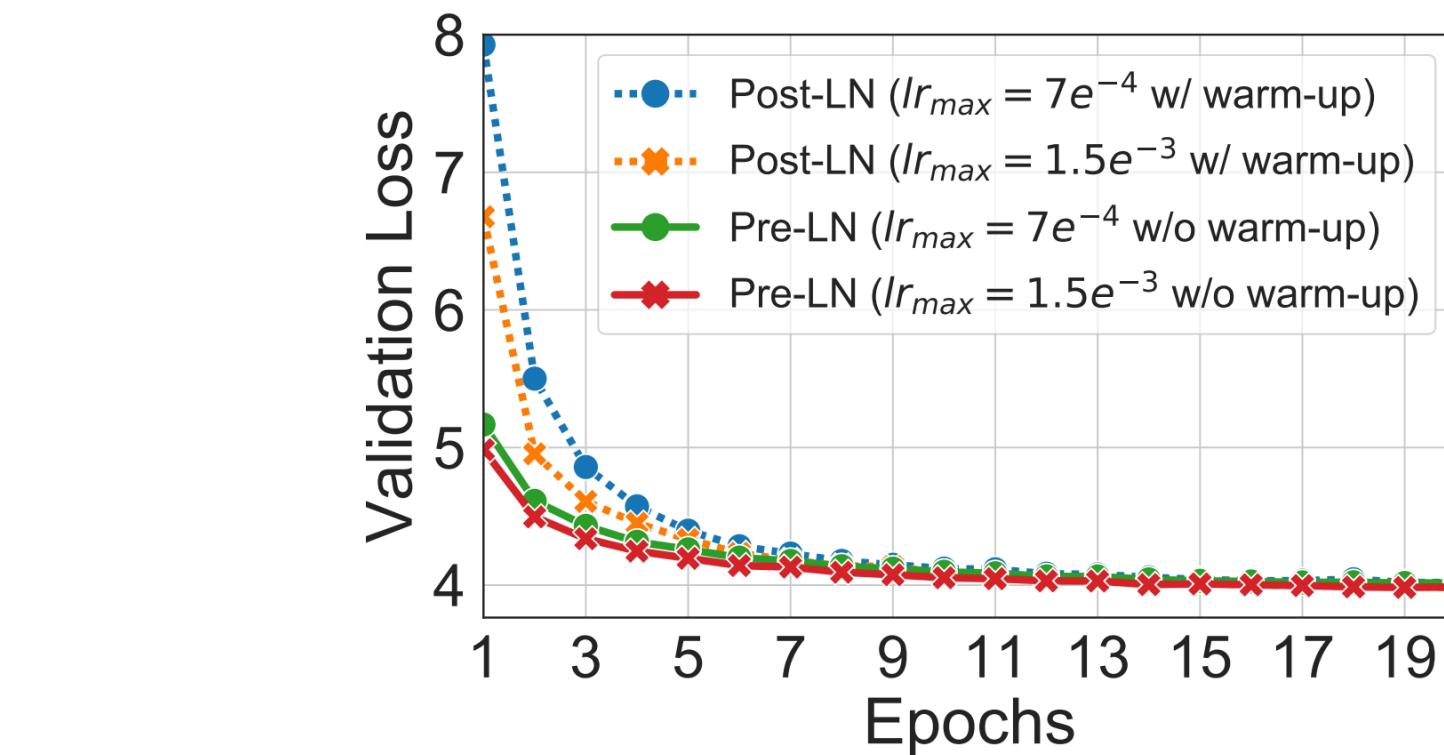
Leveraging Batch Normalization for Vision Transformers

PowerNorm: Rethinking Batch Normalization in Transformers

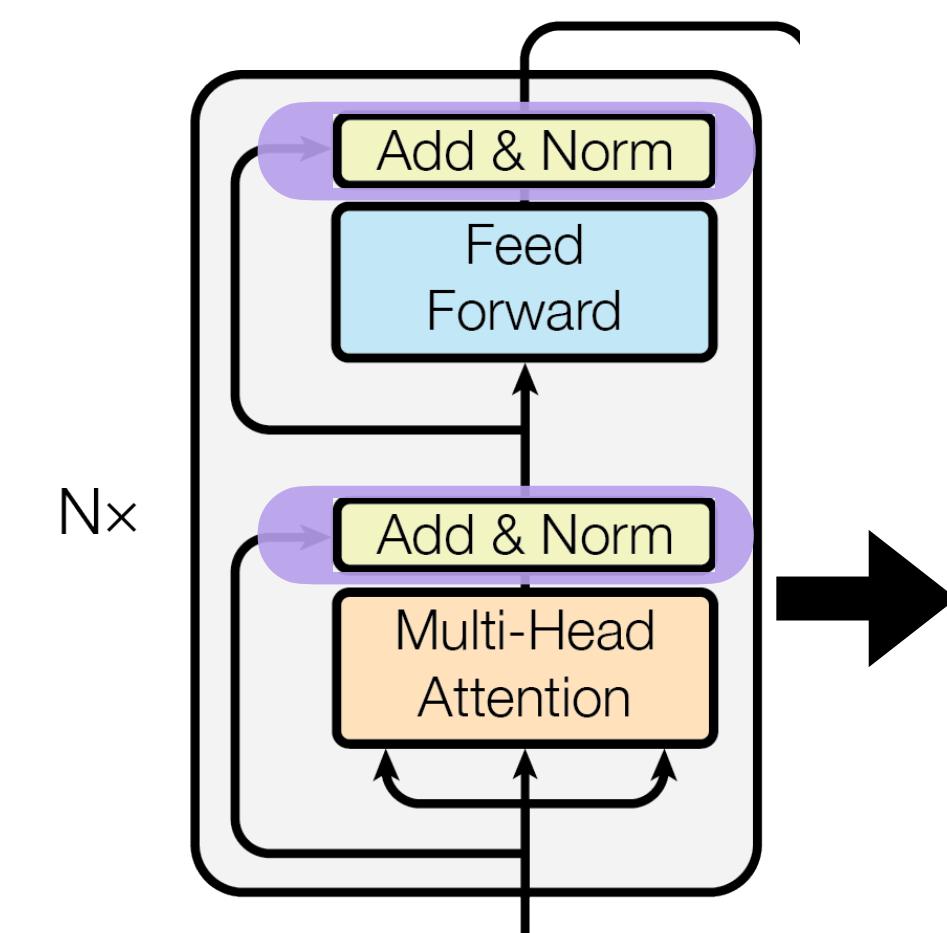
Understanding and Improving Layer Normalization

Layer Normalization

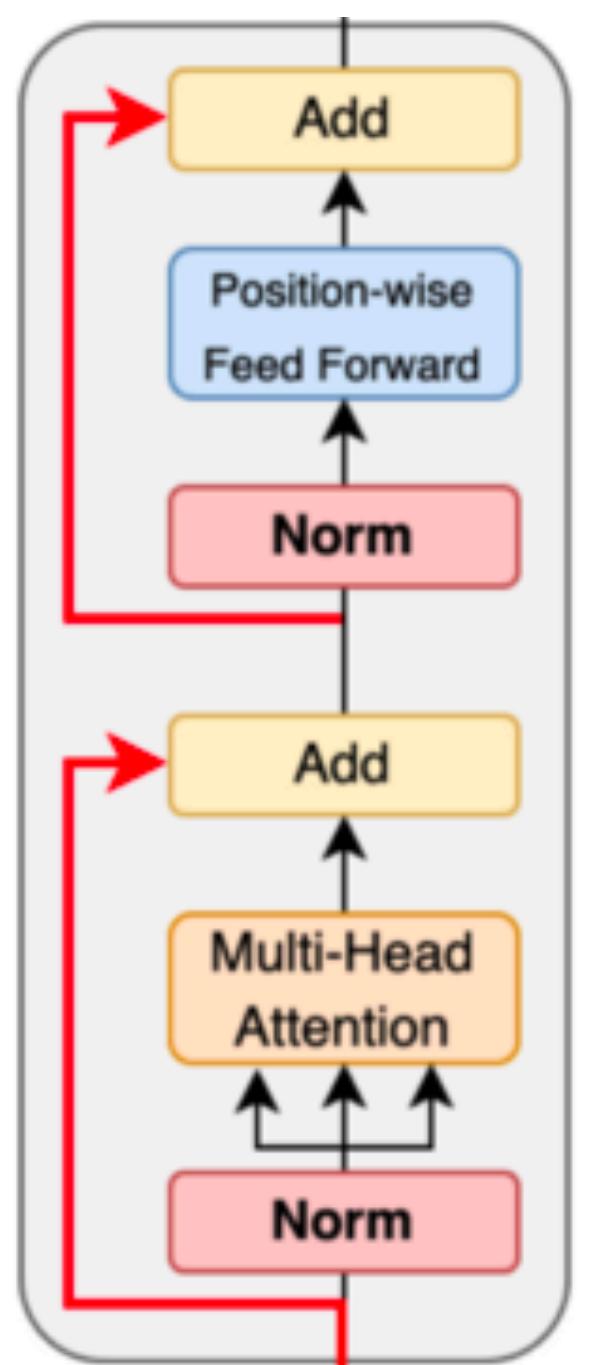
Post-LN and Pre-LN



Better Initialization



Train much faster



Encoder Block

```
class EncoderBlock(nn.Module):
    def __init__(self,
                 num_heads: int,
                 dim_embed: int,
                 dim_pwff: int,
                 drop_prob: float) -> None:
        super().__init__()

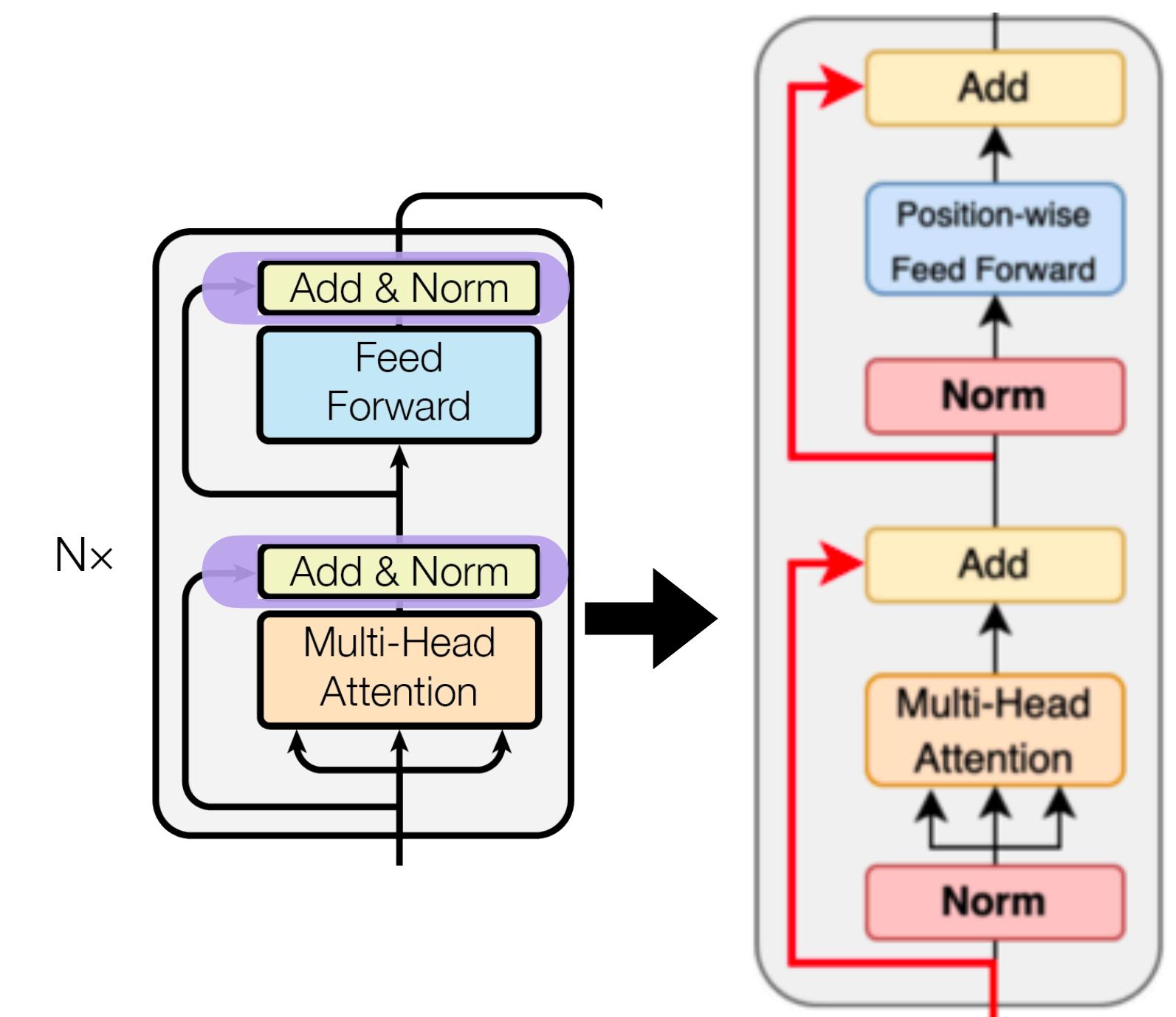
        # Self-attention
        self.self_atten = MultiHeadAttention(num_heads, dim_embed, drop_prob)
        self.layer_norm1 = nn.LayerNorm(dim_embed)

        # Point-wise feed-forward
        self.feed_forward = PositionwiseFeedForward(dim_embed, dim_pwff, drop_prob)
        self.layer_norm2 = nn.LayerNorm(dim_embed)

    def forward(self, x: Tensor, x_mask: Tensor) -> Tensor:
        x = x + self.sub_layer1(x, x_mask)
        x = x + self.sub_layer2(x)
        return x

    def sub_layer1(self, x: Tensor, x_mask: Tensor) -> Tensor:
        x = self.layer_norm1(x)
        x = self.self_atten(x, x, x_mask)
        return x

    def sub_layer2(self, x: Tensor) -> Tensor:
        x = self.layer_norm2(x)
        x = self.feed_forward(x)
        return x
```

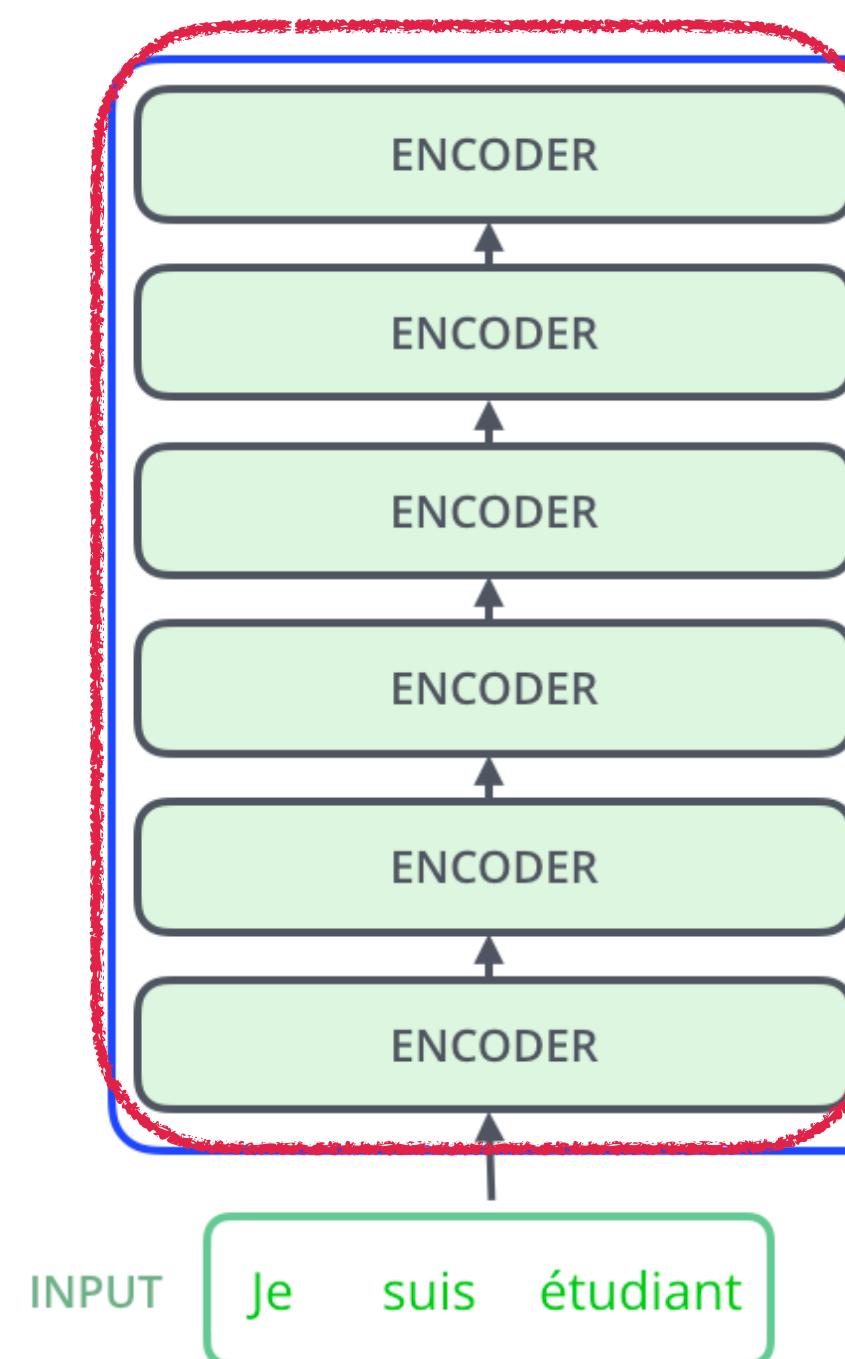


Encoder

```
class Encoder(nn.Module):
    def __init__(self,
                 num_blocks: int,
                 num_heads: int,
                 dim_embed: int,
                 dim_pffn: int,
                 drop_prob: float) -> None:
        super().__init__()

        self.blocks = nn.ModuleList(
            [EncoderBlock(num_heads, dim_embed, dim_pffn, drop_prob)
             for _ in range(num_blocks)])
        self.layer_norm = nn.LayerNorm(dim_embed)

    def forward(self, x: Tensor, x_mask: Tensor):
        for block in self.blocks:
            x = block(x, x_mask)
        x = self.layer_norm(x)
        return x
```



References

- [1] Vaswani, Ashish, et al. "Attention is all you need." *Advances in neural information processing systems* 30 (2017).
- [2] Transformer Coding Details – A Simple Implementation, <https://kikaben.com/transformers-coding-details>
- [3] Transformer Architecture: The Positional Encoding, https://kazemnejad.com/blog/transformer_architecture_positional_encoding/
- [4] The Illustrated Transformer, <https://jalammar.github.io/illustrated-transformer/>
- [5] Xiong, Ruibin, et al. "On layer normalization in the transformer architecture." *International Conference on Machine Learning*. PMLR, 2020.

Thanks